

2024 AUM MILESTONES

Smith Capital Investors sees early success in the ALPS | Smith Core Plus Bond ETF (SMTH) with over \$600 million in AUM in only 3 months





Smith Capital Investors ALPS | Smith Total Return Bond Fund Surpasses \$3 billion in AUM on March 7, 2024



Smith Capital Investors Crosses Over \$4 billion in AUM as of March 4, 2024



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alpsfunds.com 1-866-759-5679



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In partnering with Smith Capital Investors, SS&C ALPS Advisors recognized an opportunity to provide an actively managed fixed-income strategy with a focus on providing risk-adjusted returns and preservation of capital.

- The ALPS | Smith Total Return Bond Fund (SMTHX, SMTRX, SMAMX, SMCHX) seeks to obtain a total maximum return consistent with preservation of capital.
- The ALPS | Smith Short Duration Bond Fund (SMDSX, SMRSX, SMASX, SMCMX) seeks as high a level of current income as is consistent with preservation of capital.
- The ALPS | Smith Credit Opportunities Fund (SMCRX, SMCVX, SMCAX, SMCCX) seeks to obtain maximum risk-adjusted return with a secondary focus on high current income.
- The ALPS | Smith Balanced Opportunity Fund (ALPBX, ALIBX, ALABX, ALCBX) seeks long-term capital growth, consistent with preservation of capital and balanced by current income.
- The ALPS | Smith Core Plus Bond ETF (SMTH) seeks above average total return from a combination of current income and capital appreciation.

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www. alpsfunds.com. Read the prospectus carefully before investing.

Shares of the ALPS | Smith Core Plus Bond ETF are bought and sold at market price (not NAV) and are not individually redeemable.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of an investment is available in the prospectus.

The ALPS | Smith Core Plus Bond ETF is new and has limited operating history.

ALPS Advisors, Inc. and Smith Capital Investors, LLC, registered investment advisers with the SEC, are the investment adviser and sub-adviser to the Funds, respectively. ALPS Advisors, Inc. and ALPS Portfolio Solutions Distributor, Inc., affiliated entities, are unaffiliated with Smith Capital Investors, LLC.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Funds.

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