ALPS Emerging Sector Dividend Dogs ETF

Fact Sheet | September 30, 2023

VALUE, INCOME, DIVERSIFICATION

The ALPS Emerging Sector Dividend Dogs ETF (EDOG) is an Exchange-Traded Fund that applies the “Dogs of the Dow Theory” on a sector-by-sector basis using the S-Network Emerging Markets Liquid 500 Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

• **High Yield Relative to Emerging Markets Broad Based Indices** – Starting with a smaller, quality universe such as the S-Net Emerging Markets Liquid 500 Index diminishes the likelihood of troubled and financially distressed companies entering the Index and allows dividend yield to be the primary selection criterion for the Index.

• **Sector, Stock and Country Diversification** – EDOG provides high dividend exposure across 10 sectors of the market that make up the S-Net Emerging Markets Liquid 500 Index (excluding the real estate sector) by selecting the five highest yielding securities in each sector and equally weighting them. In addition, the country representation is capped at five eligible securities per country. This methodology provides diversification at the sector, stock and country level.

• **Dogs Theory** – EDOG isolates the S-Net Emerging Markets Liquid 500 Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 9/30/2023

<table>
<thead>
<tr>
<th>Total Returns</th>
<th>Cumulative</th>
<th>Annualized</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1 M</td>
<td>3 M</td>
</tr>
<tr>
<td>NAV (Net Asset Value)</td>
<td>-3.20%</td>
<td>-1.46%</td>
</tr>
<tr>
<td>Market Price</td>
<td>-3.63%</td>
<td>-2.31%</td>
</tr>
<tr>
<td>S-Network Emerging Sector Dividend Dogs Index - NTR1</td>
<td>-3.18%</td>
<td>-1.33%</td>
</tr>
<tr>
<td>Morningstar Emerging Markets Index - NTR3</td>
<td>-2.17%</td>
<td>-1.66%</td>
</tr>
</tbody>
</table>

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

1 Fund inception date: 3/27/2014
2 S-Network Emerging Sector Dividend Dogs Index: a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S-Network Emerging Markets Liquid 500 Index, a universe of mainly large capitalization stocks domiciled in emerging markets on a sector-by-sector basis. Emerging market countries are countries that major international financial institutions, such as the World Bank, generally consider to be less economically mature than developed nations.
3 Morningstar Emerging Markets Index: captures the performance of the stocks located in the emerging countries across the world. Stocks in the index are weighted by their float capital, which removes corporate cross ownership, government holdings and other locked-in shares. One may not invest directly in an index.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Emerging Sector Dividend Dogs Index (EDOGX).

Index Allocations

- Industrials: 11.96%
- Materials: 10.43%
- Utilities: 10.41%
- Financials: 10.34%
- Communication Services: 10.03%
- Health Care: 10.01%
- Energy: 9.90%
- Consumer Staples: 9.85%
- Information Technology: 9.28%
- Consumer Discretionary: 7.79%

As of 9/30/2023, subject to change.
EDOG
ALPS Emerging Sector Dividend Dogs ETF

Index Country Allocations

Asia Pacific
- Thailand 10.86%
- Malaysia 10.09%
- Indonesia 9.83%
- China 9.80%
- Philippines 4.17%
- India 7.42%

Eastern Europe
- Czech Republic 4.15%
- Hungary 2.38%
- Turkey 2.07%
- Russia 0.03%

Africa / Middle East
- South Africa 10.35%

South & Central America
- Brazil 10.70%
- Chile 8.27%
- Colombia 4.13%

North America
- Mexico 5.74%

As of 9/30/2023, subject to change

Index Constituents

Communication Services
- INTUCH TB Intouch Holdings PCL
- MAXIS MK Maxis Bhd
- MCG SJ MultiChoice Group
- TEL PM PLDT Inc
- VOD SJ Vodacom Group Ltd

Consumer Discretionary
- FALAB CI Falabella SA
- HMPROT BZ Home Product Center PCL
- LIVEPOLC MM El Puerto de Liverpool SAB de CV
- TOASO TI Tofas Turk Otomobil Fabrikasi

Consumer Staples
- FIVE LI X5 Retail Group NV
- INDF IJ Indofood Sukses Makmur Tbk PT
- JBSS3 BZ JBS S/A
- KIMBERA MM Kimberly-Clark de Mexico SAB de CV
- KOF US Coca-Cola Femsa SAB de CV
- SDPL MK Sime Darby Plantation Bhd

Energy
- 386 HK China Petroleum & Chemical Corp
- EC US Ecopetrol SA
- ITMG IJ Indo Tambangraya Megah Tbk PT
- PETR4 IJ Petroleo Brasileiro SA
- PTBA IJ Bukit Asam Tbk PT

Financials
- BSAI US Banco Santander Chile
- CIB US Bancolombia SA
- KOMB CP Komercni Banka AS
- NED SJ Nedbank Group Ltd
- NYI SJ Ninety One Ltd

Health Care
- BDMS TB Bangkok Dusit Medical Services
- BH TB Bumungrad Hospital PCL
- RDY US Dr Reddy’s Laboratories Ltd
- RCHT HB Richter Gedeon Nyrt

Industrials
- 1919 HK COSCO SHIPPING Holdings Co Ltd
- 598 HK Sinotrans Ltd
- ASI IJ Astra International Tbk PT
- MISC MK MISC Bhd
- SIME MK Sime Darby Bhd
- VAPORES CI Cia Sud Americana de Vapores S

Information Technology
- DELTA TB Delta Electronics Thailand PCL
- INFY US Infosys Ltd
- TOTS3 BZ TOTVS SA
- WIT US Wipro Ltd

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund’s investments in non-US issuers may involve unique risks compared to investing in securities of US issuers, including, among others, less liquidity generally, greater market volatility than US securities and less complete financial information than for US issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund’s investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the US dollar, which may affect the value of the investment to US investors.

The Fund employs a "passive management" or indexing investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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1-866-759-5679

Source: Bloomberg L.P., as of 9/30/2023, subject to change