

ALPS Emerging Sector Dividend Dogs ETF

Fact Sheet | September 30, 2023

VALUE, INCOME, DIVERSIFICATION

The ALPS Emerging Sector Dividend Dogs ETF (EDOG) is an Exchange-Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Net Emerging Markets Liquid 500 Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Yield Relative to Emerging Markets Broad Based Indices** – Starting with a smaller, quality universe such as the S-Net Emerging Markets Liquid 500 Index diminishes the likelihood of troubled and financially distressed companies entering the Index and allows dividend yield to be the primary selection criterion for the Index.
- **Sector, Stock and Country Diversification** – EDOG provides high dividend exposure across 10 sectors of the market that make up the S-Net Emerging Markets Liquid 500 Index (excluding the real estate sector) by selecting the five highest yielding securities in each sector and equally weighting them. In addition, the country representation is capped at five eligible securities per country. This methodology provides diversification at the sector, stock and country level.
- **Dogs Theory** – EDOG isolates the S-Net Emerging Markets Liquid 500 Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 9/30/2023

Total Returns	Cumulative				Annualized			
	1 M	3 M	YTD	SI ¹	1 Y	3 Y	5 Y	SI ¹
NAV (Net Asset Value)	-3.20%	-1.46%	3.12%	22.65%	19.76%	8.67%	4.24%	2.17%
Market Price	-3.63%	-2.31%	3.26%	22.17%	19.75%	8.69%	4.29%	2.13%
S-Net Emerging Sector Dividend Dogs Index - NTR ²	-3.18%	-1.33%	3.45%	32.27%	20.75%	9.51%	5.01%	2.98%
Morningstar Emerging Markets Index - NTR ³	-2.17%	-1.66%	3.50%	34.35%	13.10%	0.56%	2.02%	3.15%

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

¹ Fund inception date: 3/27/2014

² S-Net Emerging Sector Dividend Dogs Index: a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S-Net Emerging Markets Liquid 500 Index, a universe of mainly large capitalization stocks domiciled in emerging markets on a sector-by-sector basis. Emerging market countries are countries that major international financial institutions, such as the World Bank, generally consider to be less economically mature than developed nations.

³ Morningstar Emerging Markets Index: captures the performance of the stocks located in the emerging countries across the world. Stocks in the index are weighted by their float capital, which removes corporate cross ownership, government holdings and other locked-in shares.

One may not invest directly in an index.

Fund Details

Ticker:	EDOG
Underlying Index:	EDOGX
Listing Exchange:	NYSE Arca
CUSIP:	00162Q668
Inception Date:	3/27/2014
Distributions Paid:	Quarterly
Most Recent Distribution*:	\$0.5133
Total Operating Expenses:	0.60%
NAV:	\$20.28
30-Day SEC Yield [^] :	4.04%
30-Day SEC Yield (Unsubsidized):	4.04%

* Distribution paid on 9/26/2023

[^] 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Net Emerging Sector Dividend Dogs Index (EDOGX).

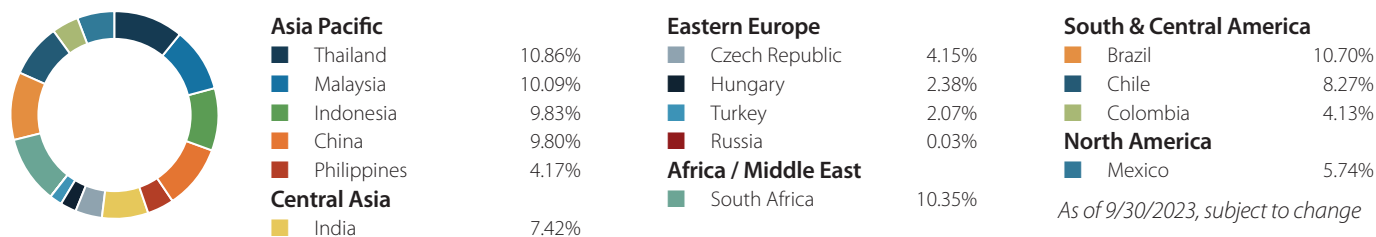
Index Allocations



Industrials	11.96%
Materials	10.43%
Utilities	10.41%
Financials	10.34%
Communication Services	10.03%
Health Care	10.01%
Energy	9.90%
Consumer Staples	9.85%
Information Technology	9.28%
Consumer Discretionary	7.79%

As of 9/30/2023, subject to change

Index Country Allocations



As of 9/30/2023, subject to change

Index Constituents

Communication Services

INTUCH TB	Intouch Holdings PCL
MAXIS MK	Maxis Bhd
MCG SJ	MultiChoice Group
TEL PM	PLDT Inc
VOD SJ	Vodacom Group Ltd

Consumer Discretionary

FALAB CI	Falabella SA
HMPRO TB	Home Product Center PCL
LIVEPOLC MM	El Puerto de Liverpool SAB de
TOASO TI	Tofas Turk Otomobil Fabrikasi

Consumer Staples

FIVE LI	X5 Retail Group NV
INDF IJ	Indofood Sukses Makmur Tbk PT
JBS3 BZ	JBS S/A
KIMBERA MM	Kimberly-Clark de Mexico SAB d
KOF US	Coca-Cola Femsa SAB de CV
SDPL MK	Sime Darby Plantation Bhd

Energy

386 HK	China Petroleum & Chemical Cor
EC US	Ecopetrol SA
ITMG IJ	Indo Tambangraya Megah Tbk PT
PETRA BZ	Petroleo Brasileiro SA
PTBA IJ	Bukit Asam Tbk PT

Financials

BSAC US	Banco Santander Chile
CIB US	Bancolombia SA
KOMB CP	Komercni Banka AS
NED SJ	Nedbank Group Ltd
NY1 SJ	Ninety One Ltd

Health Care

BDMS TB	Bangkok Dusit Medical Services
BH TB	Bumrungrad Hospital PCL
RDY US	Dr Reddy's Laboratories Ltd
RICHT HB	Richter Gedeon Nyrt

Industrials

1919 HK	COSCO SHIPPING Holdings Co Ltd
598 HK	Sinotrans Ltd
ASII IJ	Astra International Tbk PT
MISC MK	MISC Bhd
SIME MK	Sime Darby Bhd
VAPORES CI	Cia Sud Americana de Vapores S

Information Technology

DELTA TB	Delta Electronics Thailand PCL
INFY US	Infosys Ltd
TOTS3 BZ	TOTVS SA
WIT US	Wipro Ltd

Materials

2009 HK	BBMG Corp
323 HK	Maanshan Iron & Steel Co Ltd
ARI SJ	African Rainbow Minerals Ltd
CMPC CI	Empresas CMPC SA
CSNA3 BZ	Cia Siderurgica Nacional SA

Utilities

CEZ CP	CEZ AS
EGIE3 BZ	Engie Brasil Energia SA
MER PM	Manila Electric Co
PGAS IJ	Perusahaan Gas Negara Tbk PT
PTG MK	Petronas Gas Bhd

Source: Bloomberg L.P., as of 9/30/2023, subject to change

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund's investments in non-US issuers may involve unique risks compared to investing in securities of US issuers, including, among others, less liquidity generally, greater market volatility than US securities and less complete financial information than for US issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the US dollar, which may affect the value of the investment to US investors.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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