

EDOG

ALPS Emerging Sector Dividend Dogs ETF

Fact Sheet | December 31, 2023

VALUE, INCOME, DIVERSIFICATION

The ALPS Emerging Sector Dividend Dogs ETF (EDOG) is an Exchange-Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Network Emerging Markets Liquid 500 Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Yield Relative to Emerging Markets Broad Based Indices** – Starting with a large-cap universe such as the S-Net Emerging Markets Liquid 500 Index diminishes the likelihood of troubled and financially distressed companies entering the Index and allows dividend yield to be the primary selection criterion for the Index.
- **Sector, Stock and Country Diversification** – EDOG provides high dividend exposure across 10 sectors of the market that make up the S-Net Emerging Markets Liquid 500 Index (excluding the real estate sector) by selecting the five highest yielding securities in each sector and equally weighting them. In addition, the country representation is capped at five eligible securities per country. This methodology provides diversification at the sector, stock and country level.
- **Dogs Theory** – EDOG isolates the S-Net Emerging Markets Liquid 500 Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 12/31/2023

Total Returns	Cumulative				Annualized			
	1 M	3 M	YTD	SI ¹	1 Y	3 Y	5 Y	SI ¹
NAV (Net Asset Value)	4.96%	7.97%	11.33%	32.42%	11.33%	3.78%	6.34%	2.92%
Market Price	4.77%	8.19%	11.72%	32.18%	11.72%	3.87%	6.44%	2.90%
S-Network Emerging Sector Dividend Dogs Index - NTR ²	5.20%	7.68%	11.40%	42.42%	11.40%	4.33%	7.02%	3.69%
Morningstar Emerging Markets Index - NTR ³	4.04%	7.77%	11.54%	44.79%	11.54%	-3.15%	4.90%	3.86%

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

¹ Fund inception date: 3/27/2014

² S-Network Emerging Sector Dividend Dogs Index (EDOGX): a rules-based index intended to give investors a means of tracking the overall performance of the highest dividend paying stocks in the S-Network Emerging Markets Liquid 500 Index, a universe of mainly large capitalization stocks domiciled in emerging markets on a sector-by-sector basis. Emerging market countries are countries that major international financial institutions, such as the World Bank, generally consider to be less economically mature than developed nations.

³ Morningstar Emerging Markets Index: captures the performance of the stocks located in the emerging countries across the world. Stocks in the index are weighted by their float capital, which removes corporate cross ownership, government holdings and other locked-in shares.

One may not invest directly in an index.

Fund Details

Ticker:	EDOG
Underlying Index:	EDOGX
Listing Exchange:	NYSE Arca
CUSIP:	00162Q668
Inception Date:	3/27/2014
Distributions Paid:	Quarterly
Most Recent Distribution*:	\$0.2059
Total Operating Expenses:	0.60%
NAV:	\$21.69
30-Day SEC Yield [^] :	6.47%
30-Day SEC Yield (Unsubsidized):	6.47%

* Distribution paid on 12/27/2023

[^] 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Emerging Sector Dividend Dogs Index (EDOGX).

Index Allocations

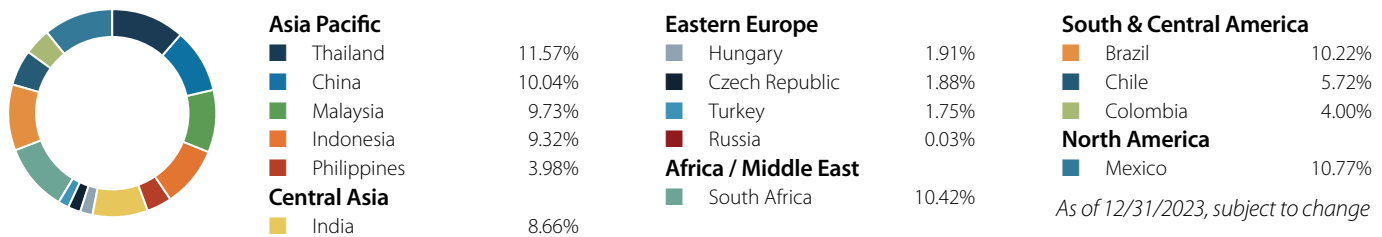


Materials	10.56%
Industrials	10.50%
Information Technology	10.28%
Energy	10.06%
Financials	9.97%
Communication Services	9.83%
Health Care	9.79%
Consumer Discretionary	9.73%
Utilities	9.71%
Consumer Staples	9.57%

As of 12/31/2023, subject to change

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Index Country Allocations



Index Constituents

Communication Services		Financials		Materials	
1919 HK	Vodacom Group Ltd	GFNORTEO		HMPRO TB	Sasol Ltd
VAPORES CI	Telkom Indonesia Persero Tbk P	MM	Grupo Financiero Banorte SAB d	WHL SJ	Orbia Advance Corp SAB de CV
GAPB MM	PLDT Inc	2016 HK	Bancolombia SA	PPH SJ	Grupo Mexico SAB de CV
OMAB MM	Maxis Bhd	BDMS TB	BB Seguridade Participacoes SA	TOASO TI	Gerdau SA
SIME MK	Axiata Group Bhd	BH TB	Chongqing Rural Commercial Ban	THBEV SP	Cia Siderurgica Nacional SA
Consumer Discretionary		Health Care		Utilities	
1171 HK	Woolworths Holdings Ltd/South	RDY US	Richter Gedeon Nyrt	INDF IJ	Petronas Gas Bhd
1088 HK	Tofas Turk Otomobil Fabrikasi	KLBF IJ	Dr Reddy's Laboratories Ltd	GGRM IJ	Manila Electric Co
PETR4 BZ	Pepkor Holdings Ltd	VOD SJ	Kalbe Farma Tbk PT	ABEV3 BZ	Interconexion Electrica SA ESP
EXX SJ	Home Product Center PCL	AXIATA MK	Bumrungrad Hospital PCL	CENCOSUD CI	Enel Chile SA
ADRO IJ	Genting Malaysia Bhd	TEL PM	Bangkok Dusit Medical Services	FIVE LI	CEZ AS
Consumer Staples		Industrials		Source: Bloomberg L.P., as of 12/31/2023, subject to change	
CSNA3 BZ	Thai Beverage PCL	TLKM IJ	Cia Sud Americana de Vapores S		
GMEXICOB MM	Indofood Sukses Makmur Tbk PT	MAXIS MK	Sime Darby Bhd		
SOL SJ	Gudang Garam Tbk PT	ISA CB	Grupo Aeroportuario del Centro		
GGBR4 BZ	X5 Retail Group NV	MER PM	Grupo Aeroportuario del Pacifico		
ORBIA* MM	Cencosud SA	PTG MK	COSCO SHIPPING Holdings Co Ltd		
DELTA TB	Ambev SA	Information Technology			
Energy		CEZ CP	Wipro Ltd		
WIT US	Petroleo Brasileiro SA	ENELCHIL CI	Infosys Ltd		
INFY US	Exxaro Resources Ltd	GENM MK	Delta Electronics Thailand PCL		
CIB US	Adaro Energy Indonesia Tbk PT				
BBSE3 BZ	Yankuang Energy Group Co Ltd				
3618 HK	China Shenhua Energy Co Ltd				

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund's investments in non-US issuers may involve unique risks compared to investing in securities of US issuers, including, among others, less liquidity generally, greater market volatility than US securities and less complete financial information than for US issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the US dollar, which may affect the value of the investment to US investors.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc., registered investment advisor with the SEC, is the investment advisor to the Fund. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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