

# ALPS International Sector Dividend Dogs ETF

Fact Sheet | December 31, 2023

## VALUE, INCOME, DIVERSIFICATION

The ALPS International Sector Dividend Dogs ETF (IDOG) is an Exchange-Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Network Developed International Equity 1000 Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Dividend Yield Relative to International Large Cap Indices** – Starting with a large-cap universe such as the S-Net Developed International Equity 1000 Index diminishes the likelihood of troubled and financially distressed companies entering the Index and allows dividend yield to be the primary selection criterion for the Index.
- **Sector and Stock Diversification** – IDOG provides high dividend exposure across all 10 sectors of the market by selecting the five highest yielding securities in each sector and equally weighting them. This provides diversification at both the stock and sector level.
- **Dogs Theory** – IDOG isolates the S-Net Developed International Equity 1000 Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

## Performance as of 12/31/2023

Total Returns	Cumulative				Annualized				
	1 M	3 M	YTD	SI <sup>1</sup>	1 Y	3 Y	5 Y	10 Y	SI <sup>1</sup>
NAV (Net Asset Value)	4.68%	10.17%	22.64%	84.31%	22.64%	9.36%	9.29%	4.58%	5.99%
Market Price	4.67%	10.59%	23.49%	84.87%	23.49%	9.65%	9.46%	4.52%	6.02%
S-Network International Sector Dividend Dogs Index - NTR <sup>2</sup>	4.84%	10.46%	23.16%	92.07%	23.16%	9.73%	9.72%	4.98%	6.41%
Morningstar Developed Markets ex-North America Index - NTR <sup>3</sup>	5.49%	10.49%	16.88%	79.40%	16.88%	3.28%	7.90%	4.25%	5.72%

**Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Performance includes reinvested distributions and capital gains.**

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

<sup>1</sup> Fund inception date: 6/27/2013

<sup>2</sup> S-Network International Sector Dividend Dogs Index (IDOGX): a portfolio of stocks derived from a universe of mainly large capitalization stocks domiciled in developed markets outside the Americas (the "S-Network Developed International Equity 1000 Index"). The IDOGX methodology selects the five stocks in each of the ten GLCS sectors that make up the universe which offer the highest dividend yields as of the last trading day of November. The fifty stocks that are selected for inclusion in the portfolio are equally weighted.

<sup>3</sup> Morningstar Developed Markets ex-North America Index: measures the performance of companies in developed markets ex-North America. It covers approximately 97% of the full market capitalization in the Developed Markets ex-North America.

One may not invest directly in an index.

## Fund Details

Ticker:	IDOG
Underlying Index:	IDOGX
Listing Exchange:	NYSE Arca
CUSIP:	00162Q718
Inception Date:	6/27/2013
Distributions Paid:	Quarterly
Most Recent Distribution*:	\$0.2443
Total Operating Expenses:	<b>0.50%</b>
NAV:	\$29.79
30-Day SEC Yield <sup>^</sup> :	5.77%
30-Day SEC Yield (Unsubsidized):	5.77%

\* Distribution paid on 12/27/2023

<sup>^</sup> 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

## Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network International Sector Dividend Dogs Index (IDOGX).

## Index Allocations

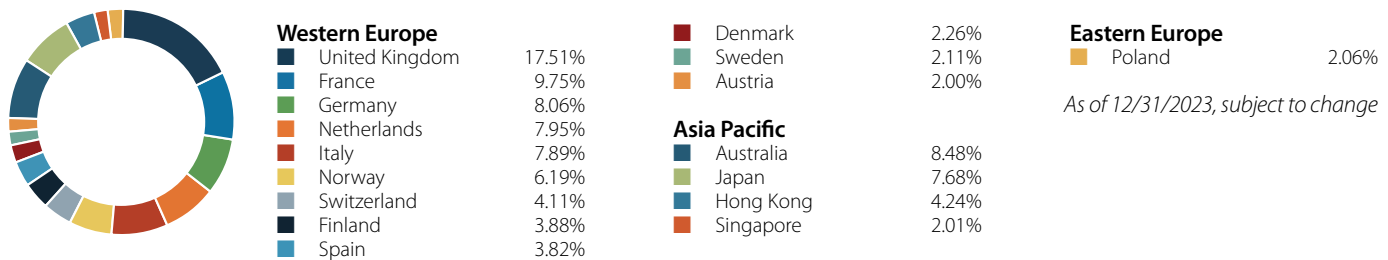


Materials	10.71%
Industrials	10.66%
Energy	10.15%
Information Technology	10.04%
Health Care	9.92%
Utilities	9.88%
Consumer Discretionary	9.76%
Financials	9.76%
Consumer Staples	9.72%
Communication Services	9.40%

As of 12/31/2023, subject to change

## ALPS International Sector Dividend Dogs ETF

## Index Country Allocations



## Index Constituents

Communication Services		Financials		Information Technology	
WPP LN	WPP PLC	ACA FP	Credit Agricole SA	ERICB SS	Telefonaktiebolaget LM Ericsson
TEL NO	Telenor ASA	MB IM	Mediobanca Banca di Credito Fi	NXPI US	NXP Semiconductors NV
VOD LN	Vodafone Group PLC	NN NA	NN Group NV	BESI NA	BE Semiconductor Industries NV
ORA FP	Orange SA	NWG LN	NatWest Group PLC	NOKIA FH	Nokia Oyj
TEF SM	Telefonica SA	ISP IM	Intesa Sanpaolo SpA	7751 JP	Canon Inc
Consumer Discretionary		Health Care		Materials	
ML FP	Cie Generale des Etablissement	BAYN GR	Bayer AG	NHY NO	Norsk Hydro ASA
STLA US	Stellantis NV	SAN FP	Sanofi SA	FMG AU	Fortescue Ltd
BMW GR	Bayerische Motoren Werke AG	GSK LN	GSK PLC	BHP AU	BHP Group Ltd
MBG GR	Mercedes-Benz Group AG	4502 JP	Takeda Pharmaceutical Co Ltd	BAS GR	BASF SE
7202 JP	Isuzu Motors Ltd	ROG SW	Roche Holding AG	RIO LN	Rio Tinto PLC
Consumer Staples		Industrials		Utilities	
COL AU	Coles Group Ltd	MAERSKB DC	AP Moller - Maersk A/S	3 HK	Hong Kong & China Gas Co Ltd
ULVR LN	Unilever PLC	KNIN SW	Kuehne + Nagel International A	ENEL IM	Enel SpA
IMB LN	Imperial Brands PLC	ACS SM	ACS Actividades de Construccio	NG/ LN	National Grid PLC
BATS LN	British American Tobacco PLC	267 HK	CITIC Ltd	ENGI FP	Engie SA
2914 JP	Japan Tobacco Inc	SIA SP	Singapore Airlines Ltd	FORTUM FH	Fortum Oyj
Energy					
WDS AU	Woodside Energy Group Ltd				
PKN PW	ORLEN SA				
AKRBP NO	Aker BP ASA				
OMV AV	OMV AG				
ENI IM	Eni SpA				

Source: Bloomberg L.P., as of 12/31/2023, subject to change

## Important Disclosures &amp; Definitions

**An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.**

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund's investments in non-US issuers may involve unique risks compared to investing in securities of US issuers, including, among others, less liquidity generally, greater market volatility than US securities and less complete financial information than for US issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the US dollar, which may affect the value of the investment to US investors.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

ALPS Advisors, Inc., registered investment advisor with the SEC, is the investment advisor to the Fund. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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