

# ALPS | O'Shares Global Internet Giants ETF

Fact Sheet | September 30, 2023

## Investment Objective

The ALPS | O'Shares Global Internet Giants ETF (OGIG) is a rules-based ETF designed to provide investors with the means to invest in some of the largest global companies that derive most of their revenue from the internet technology and e-commerce business segments that exhibit above average growth potential.

## Why OGIG?

- **"New Tech vs. Old Tech":** Positioned for the digital transformation of the global economy.
- **Focus on Quality & Revenue Growth**
- **Differentiated Approach to Growth and Tech**



## Sector Allocations

Information Technology	50.08%
Communication Services	22.21%
Consumer Discretionary	21.11%
Industrials	5.74%
Real Estate	0.86%

As of 9/30/2023, subject to change

## Performance as of 9/30/2023

Total Returns	Cumulative		Annualized			
	3 M	YTD	1 Y	3 Y	5 Y	SI
NAV (Net Asset Value)	-3.46%	27.08%	21.83%	-11.01%	5.59%	4.10%
Market Price	-3.34%	27.42%	21.95%	-11.11%	5.62%	4.09%
O'Shares Global Internet Giants Index - TR	-3.32%	27.61%	22.46%	-10.59%	6.13%	4.64%
NASDAQ 100 Index - TR	-2.86%	35.37%	35.31%	9.69%	15.06%	15.57%

**Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Performance includes reinvested distributions and capital gains.**

Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

Performance data prior to 6/21/2022 reflects the performance of the Fund as managed under the OSI ETF Trust.

## Investment Objective

OGIG seeks to track the performance (before fees and expenses) of the O'Shares Global Internet Giants Index (OGIGX).

## Fund Details

Ticker:	OGIG
Underlying Index:	OGIGX
Listing Exchange:	Cboe BZX
CUSIP:	00162Q361
Inception Date <sup>1</sup> :	6/5/2018
Total Operating Expenses:	<b>0.48%</b>

<sup>1</sup> Date reflects the commencement of investment operations.

## Top 10 Holdings

MSFT US	Microsoft Corp	6.06%
AMZN US	Amazon.com Inc	5.83%
GOOGL US	Alphabet Inc	4.88%
META US	Meta Platforms Inc	4.46%
PDD US	PDD Holdings Inc	2.57%
NOW US	ServiceNow Inc	1.99%
SNOW US	Snowflake Inc	1.66%
ADBE US	Adobe Inc	1.61%
CRWD US	CrowdStrike Holdings Inc	1.61%
TEAM US	Atlassian Corp	1.50%

As of 9/30/2023, subject to change

## Index Description

As of September 30, 2023, the index consisted of 81 equities, with weighted average market cap of approximately \$378,245 million USD and invested in five business segments: Information Technology, Communication Services, Consumer Discretionary, Industrials and Real Estate.

## Solution Based Partnership

### SS&C ALPS Advisors

Headquartered in Denver, CO with over \$20 billion under management as of September 30, 2023, SS&C ALPS Advisors is an open architecture boutique investment manager offering portfolio building blocks, active insight and an unwavering drive to guide clients to investment outcomes across sustainable income, thematic and alternative growth strategies.

### O'Shares Investments

O'Shares Investments is an independent index provider of innovative, rules-based investment solutions for long-term wealth management. Our customized index strategies reflect an emphasis on quality across our family of investment indexes and are designed for asset managers, advisors and investors.

## ETF Advantages

OGIG is a passively managed fund with all of the structural benefits of an ETF.



\* Upon redemption, the ETF issuer delivers underlying securities "in kind" which can reduce the fund's tax burden and provide higher after tax returns for investors.

\*\* Ordinary brokerage fees apply. This notice is provided to you for informational purposes only, and should not be considered tax advice. Please consult your tax advisor for further assistance.

## Important Disclosures & Definitions

**An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Read the prospectus carefully before investing.**

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

Concentration in a particular industry or sector will subject the Fund to loss due to adverse occurrences that may affect that industry or sector. The Fund may use derivatives which may involve risks different from, or greater than, those associated with more traditional investments. A Fund's emphasis on dividend-paying stocks involves the risk that such stocks may fall out of favor with investors and underperform the market. Also, a company may reduce or eliminate its dividend after the Fund's purchase of such a company's securities.

Companies involved with internet technology and e-commerce are exposed to risks associated with rapid advances in technology, obsolescence of current products and services, the finite life of patents and the constant threat of global competition and substitutes.

The Fund employs a "passive management" - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not "actively" managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.

NASDAQ 100 Index: one of the world's preeminent large-cap growth indexes. It includes 100 of the largest domestic and international non-financial companies listed on the Nasdaq Stock Market based on market capitalization.

O'Shares Global Internet Giants Index: a rules-based index intended to give investors a means of tracking stocks exhibiting quality and growth characteristics in the internet technology and e-commerce business segments and pass screens for gross margin and cash burn sustainability. Companies included in OGIGX derive at least 50% of their revenues from a) internet technology companies whose principal business is to provide the technologies that support internet commerce; and b) internet commerce companies whose principal business is to sell products and services via the internet.

One may not invest directly in an index.

ALPS Advisors, Inc. and ALPS Portfolio Solutions Distributor, Inc., affiliated entities, are unaffiliated with O'Shares Investments.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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