VALUE, INCOME, DIVERSIFICATION

The ALPS Sector Dividend Dogs ETF (SDOG) is an Exchange-Traded Fund that applies the ‘Dogs of the Dow Theory’ on a sector-by-sector basis using the S-Network US Equity WR Large-Cap 500 Index (SNR 500) as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Dividend Yield Relative to US Large Cap Indices** – Starting with a smaller, quality universe such as the SNR 500 diminishes the likelihood of troubled and financially distressed companies entering the Index and allows dividend yield to be the primary selection criterion for the Index.

- **Sector and Stock Diversification** – SDOG provides high dividend exposure across all 10 sectors of the market by selecting the five highest yielding securities in each sector and equally weighting them. This provides diversification at both the stock and sector level.

- **Dogs Theory** – SDOG isolates the SNR 500 constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

### Performance as of 9/30/2023

<table>
<thead>
<tr>
<th>Total Returns</th>
<th>Cumulative</th>
<th>Annualized</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 M</td>
<td>3 M</td>
<td>YTD</td>
</tr>
<tr>
<td>NAV (Net Asset Value)</td>
<td>-5.51%</td>
<td>-4.69%</td>
</tr>
<tr>
<td>Market Price</td>
<td>-5.47%</td>
<td>-4.67%</td>
</tr>
<tr>
<td>S-Network Sector Dividend Dogs Index - TR</td>
<td>-5.51%</td>
<td>-4.74%</td>
</tr>
<tr>
<td>S&amp;P 500 Index - TR</td>
<td>-4.77%</td>
<td>-3.27%</td>
</tr>
</tbody>
</table>

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

### Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network Sector Dividend Dogs Index (SDOGX).

### Index Allocations

- **Consumer Staples**: 10.23%
- **Financials**: 10.14%
- **Materials**: 10.14%
- **Energy**: 10.08%
- **Health Care**: 10.06%
- **Communication Services**: 9.98%
- **Industrials**: 9.87%
- **Utilities**: 9.85%
- **Information Technology**: 9.84%
- **Consumer Discretionary**: 9.81%

As of 9/30/2023, subject to change.
SDOG
ALPS Sector Dividend Dogs ETF

Index Constituents

Communciation Services
T US AT&T Inc
VZ US Verizon Communications Inc
PARA US Paramount Global
OMC US Omnicom Group Inc
IPG US Interpublic Group of Cos Inc/T

Consumer Discretionary
WHR US Whirlpool Corp
BBY US Best Buy Co Inc
HAS US Hasbro Inc
VFC US VF Corp
NWL US Newell Brands Inc

Consumer Staples
KHC US Kraft Heinz Co/The
WBA US Walgreens Boots Alliance Inc
PM US Philip Morris International In
MO US Altria Group Inc
KMB US Kimberly-Clark Corp

Energy
WMB US Williams Cos Inc/The
KMI US Kinder Morgan Inc
PSX US Phillips 66
OKE US ONEOK Inc
DVN US Devon Energy Corp

Financials
C US Citigroup Inc
PRU US Prudential Financial Inc
LNC US Lincoln National Corp
TFC US Truist Financial Corp
BEN US Franklin Resources Inc

Health Care
ABBV US AbbVie Inc
GILD US Gilead Sciences Inc
MDT US Medtronic PLC
VRTX US Viatris Inc
OGN US Organon & Co

Industrials
FAST US Fastenal Co
SNA US Snap-on Inc
UPS US United Parcel Service Inc
SWK US Stanley Black & Decker Inc
MMM US 3M Co

Information Technology
STX US Seagate Technology Holdings Plc
GLW US Corning Inc
IBM US International Business Machine
INTC US Intel Corp
HPQ US HP Inc

Materials
IP US International Paper Co
AMCR US Amcor PLC
DOW US Dow Inc
NEM US Newmont Corp
LYB US LyondellBasell Industries NV

Utilities
DUK US Duke Energy Corp
PNW US Pinnacle West Capital Corp
SO US Southern Co/The
D US Dominion Energy Inc
EIX US Edison International

Source: Bloomberg L.P., as of 9/30/2023, subject to change

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.
Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.
All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.
The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.
Diversification does not eliminate the risk of experiencing investment losses.
The Fund employs a “passive management” - or indexing - investment approach and seeks investment results that correspond (before fees and expenses) generally to the performance of its underlying index. Unlike many investment companies, the Fund is not “actively” managed. Therefore, it would not necessarily sell or buy a security unless that security is removed from or added to the underlying index, respectively.
Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.
ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.
ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

alpsfunds.com
1-866-759-5679