

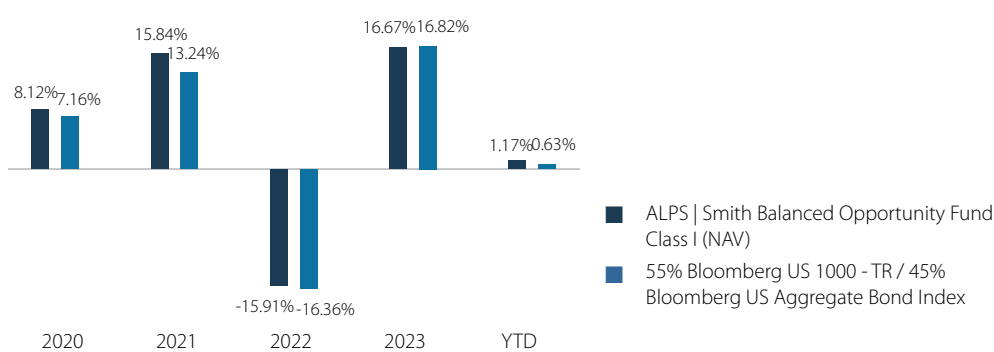
ALPS | Smith Balanced Opportunity Fund

Fact Sheet | January 31, 2024

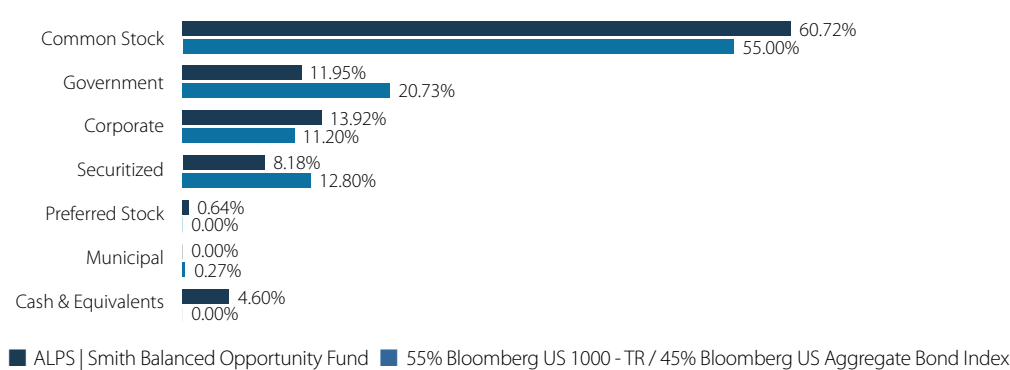
Performance

Total Returns	Cumulative as of 1/31/2024			Annualized as of 12/31/2023		
	1 M	3 M	YTD	1 Y	3 Y	SI
Class I (Net Asset Value)	1.17%	12.89%	1.17%	16.67%	4.36%	6.46%
Investor Class (Net Asset Value)	1.14%	12.80%	1.14%	16.37%	4.08%	6.17%
Class A (Net Asset Value)	1.14%	12.80%	1.14%	16.34%	4.10%	6.17%
Class A (MOP)	-2.11%	9.18%	-2.11%	12.61%	2.97%	5.10%
Class C (Net Asset Value)	1.09%	12.57%	1.09%	15.48%	3.32%	5.39%
Class C (CDSC)	0.09%	11.57%	0.09%	14.48%	3.32%	5.39%
55% Bloomberg US 1000 - TR / 45% Bloomberg US Aggregate Bond Index	0.63%	12.68%	0.63%	16.82%	3.43%	5.32%

Calendar Year Performance



Sector Allocations[^]



As of 1/31/2024

[^] Future holdings are subject to change

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Maximum Offering Price (MOP) performance for Class A shares includes the Fund's maximum sales charge of 3.25%.

Contingent Deferred Sales Charge (CDSC) performance for Class C shares includes a 1% CDSC on shares redeemed within 12-months of purchase. Performance shown at Net Asset Value (NAV) does not include these sales charges and would have been lower had it been taken into account.

Key Features

- **Active management**
Seeks to outperform the market to deliver risk-adjusted returns and capital preservation
- **Dynamic portfolio positioning**
Defensive, neutral, opportunistic positioning for allocation flexibility
- **Experienced, award-winning investment team**
Lends deep fixed income expertise

Portfolio Management

Gibson Smith*

Portfolio Manager, Founder of Smith Capital Investors
Veteran Portfolio Manager with 33 years investment experience

Laton Spahr*, CFA

Portfolio Manager, President, SS&C ALPS Advisors, 26 years experience

Eric Bernum*, CFA

Portfolio Manager, Investor, Smith Capital Investors, 20 years experience

Eric Hewitt*

Portfolio Manager, Director of Research, SS&C ALPS Advisors, 25 years experience

* Registered Representative of ALPS Distributors, Inc.

Fund Details

Inception Date	9/15/2020
Net Assets	\$23.83MM
Effective Duration	5.81 years
Asset Allocation	60.72% Equity 39.28% Fixed Income

Expense Ratios

	Total Operating Expenses	What You Pay**
Class I	1.41%	0.85%
Inv Class	1.59%	1.15%
Class A	1.60%	1.15%
Class C	2.30%	1.85%

** What You Pay reflects the Adviser's and Sub-Adviser's decision to contractually limit expenses through February 28, 2024. Please see the prospectus for additional information.

Fund Symbols & CUSIPs

Class I	ALPBX	31761T407
Inv Class	ALIBX	31761T100
Class A	ALABX	31761T209
Class C	ALCBX	31761T308

ALPS | Smith Balanced Opportunity Fund

Investment Objective

The Fund seeks long-term capital growth, consistent with preservation of capital and balanced by current income.

Role in Portfolio

- A balanced fund that invests in both equities and fixed income securities to provide both capital growth and income for investors.
- Flexible and dynamic asset allocation approach to position portfolio for market conditions, risk factors, and outlook.
- Active, total return focused investment process.
- Equity portion consists primarily of stocks determined to have high or improving return on invested capital (ROIC).
- Fixed income portion consists of a core portfolio focused on providing risk-adjusted returns, consistent with preservation of capital.

Partnership

SS&C ALPS Advisors

Headquartered in Denver, CO, SS&C ALPS Advisors is an open architecture boutique investment manager offering portfolio building blocks, active insight and an unwavering drive to guide clients to investment outcomes across sustainable income, thematic and alternative growth strategies.

Smith Capital Investors

Smith's investment-centric team averages 13+ years of experience, including previous rising rate environments. Their results are driven by a process with deep roots in fundamental research, complemented by quantitative validation and use of technology.

Fund Yields

	30-Day SEC Yield ¹	30-Day SEC Yield (Unsubsidized) ²	Trailing Twelve Month Yield ³
Class I	2.00%	1.18%	1.89%
Class Inv	1.70%	1.02%	1.66%
Class A	1.65%	0.98%	1.63%
Class C	1.02%	0.33%	0.97%

¹ 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

² 30-Day SEC Yield (Unsubsidized): reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses, excluding fee waivers.

³ Trailing Twelve Month Yield: refers to the percentage of income a portfolio has returned to investors over the last 12 months. Past performance is no guarantee of future results.

Credit Quality

	Fund	Index ^A
AAA	11.70%	3.32%
AA	51.92%	70.38%
A	7.02%	11.75%
BBB	22.94%	11.98%
BB	4.75%	0.60%
B	1.67%	—
NR	—	1.97%

Credit Quality is a measure of the credit worthiness and risk of a bond or portfolio, based on the issuer's financial condition. AAA/Aaa is highest and D is lowest. If applicable, the Pre-Refunded/Agency category includes bonds which are secured by US Government Securities and therefore are deemed high-quality investment-grade by the Advisor. If applicable, the Not Rated category includes bonds that are not rated by a Nationally Recognized Statistical Rating Organization.

Composite Rating Source: Bloomberg L.P., ratings presented are a blend of a security's Moody's, S&P, Fitch and DBRS ratings. The rating agencies are evenly weighted when calculating the composite. Ratings presented may differ when viewed on an agency-by-agency basis.

^A Credit Quality Index is based on the Bloomberg US Aggregate Bond Index.

Top 10 Holdings

Microsoft Corp.	3.08%
JPMorgan Chase & Co.	2.30%
NVIDIA Corp.	2.22%
U.S. Treasury Bond 4.75% 11/15/2053	1.87%
Alphabet, Inc.	1.86%
Amazon.com, Inc.	1.73%
U.S. Treasury Bond 4.75% 11/15/2043	1.72%
U.S. Treasury Bond 4.375% 08/15/2043	1.71%
Apple, Inc.	1.67%
UnitedHealth Group, Inc.	1.65%

Source: Bloomberg L.P., as of 1/31/2024, subject to change

Risk Statistics

For the three years ending 1/31/2024

	Fund	Index
Alpha ⁴	1.04	0.00
Beta ⁵	1.03	1.00
R-squared (%) ⁶	95.75	100.00
Standard Deviation ⁷	12.84	12.21
Sharpe Ratio ⁸	0.24	0.16
Up Capture ⁹	107	100
Down Capture ¹⁰	102	100

⁴ Alpha: a measure of performance on a risk-adjusted basis; often considered the active return on an investment, the ratio gauges the performance of an investment against a market index used as a benchmark.

⁵ Beta: a measure of the volatility, or systematic risk, of a security or a portfolio in comparison to the market or a benchmark.

⁶ R-squared: the percentage of a fund or security's movements that can be explained by movements in a benchmark index.

⁷ Standard Deviation: a statistical measure that indicates the extent of deviation for a group as a whole.

⁸ Sharpe Ratio: a measure that indicates the average return minus the risk-free return divided by the standard deviation of return on an investment.

⁹ Up Capture: a statistical measure of an investment manager's relative performance during bull (up) markets.

¹⁰ Down Capture: a statistical measure of an investment manager's relative performance during bear (down) markets.

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The characteristics presented reflect trade date + 1 information.

A rise in interest rates typically causes bond prices to fall. The longer the duration of the bonds held by a fund, the more sensitive it will likely be to interest rate fluctuations.

The Fund's investments in fixed-income securities and positions in fixed-income derivatives may decline in value because of changes in interest rates. As nominal interest rates rise, the value of fixed-income securities and any long positions in fixed-income derivatives held by the Fund are likely to decrease, whereas the value of its short positions in fixed-income derivatives is likely to increase.

Overall securities market risks may affect the value of individual instruments in which the Fund invests. Factors such as domestic and foreign economic growth and market conditions, interest rate levels, and political events affect the securities and derivatives markets. When the value of the Fund's investments goes down, your investment in the Fund decreases in value and you could lose money.

Bloomberg US 1000 Index: a float market-cap-weighted benchmark of the 1000 most highly capitalized US companies.

Bloomberg US Aggregate Bond Index: a broad-based benchmark that measures the investment grade, US dollar-denominated, fixed-rate taxable bond market. The index includes Treasuries, government-related and corporate securities, fixed-rate agency MBS, ABS and CMBS (agency and non-agency).

One may not invest directly in an index.

ALPS Advisors, Inc. and Smith Capital Investors, LLC, registered investment advisers with the SEC, are the investment adviser and sub-adviser to the Fund, respectively. ALPS Advisors, Inc., ALPS Distributors, Inc. and ALPS Portfolio Solutions Distributor, Inc., affiliated entities, are unaffiliated with Smith Capital Investors, LLC.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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