ALPS | Smith Credit Opportunities Fund
Fact Sheet | October 31, 2023

Performance

<table>
<thead>
<tr>
<th>Total Returns</th>
<th>Cumulative as of 10/31/2023</th>
<th>Annualized as of 9/30/2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class I (Net Asset Value)</td>
<td>-1.36%</td>
<td>-3.11%</td>
</tr>
<tr>
<td>Investor Class (Net Asset Value)</td>
<td>-1.50%</td>
<td>-3.28%</td>
</tr>
<tr>
<td>Class A (Net Asset Value)</td>
<td>-1.38%</td>
<td>-3.18%</td>
</tr>
<tr>
<td>Class A (MOP)</td>
<td>-3.61%</td>
<td>-5.41%</td>
</tr>
<tr>
<td>Class C (Net Asset Value)</td>
<td>-1.45%</td>
<td>-3.36%</td>
</tr>
<tr>
<td>Class C (CDSC)</td>
<td>-2.44%</td>
<td>-4.32%</td>
</tr>
</tbody>
</table>

50% Bloomberg US Aggregate Bond / 50% Bloomberg US Corporate HY Bond Index
-1.37% | -3.38% | 0.88% | 5.39% | -1.74% | -1.88%

Calendar Year Performance

<table>
<thead>
<tr>
<th>Year</th>
<th>Total Return</th>
</tr>
</thead>
<tbody>
<tr>
<td>2020</td>
<td>4.93%</td>
</tr>
<tr>
<td>2021</td>
<td>3.02%</td>
</tr>
<tr>
<td>2022</td>
<td>3.31%</td>
</tr>
<tr>
<td>YTD</td>
<td>1.83%</td>
</tr>
</tbody>
</table>

Sector Allocations^

- Corporate: 82.72%
- Government: 23.10%
- Preferred Stock: 3.54%
- Securitized: 6.74%
- Municipal: 1.71%
- Cash & Equivalents: 0.00%

Key Features

- **Active management**
  Seeks to outperform the market to deliver risk-adjusted returns and capital preservation
- **Dynamic portfolio positioning**
  Defensive, neutral, opportunistic positioning for allocation flexibility
- **Experienced, award-winning investment team**
  Lends deep fixed income expertise

Portfolio Management

- **Gibson Smith**, Chief Investment Officer and Portfolio Manager
- **Eric Bernum**, CFA
- **Jonathan Aal**, Portfolio Manager
- **Garrett Olson**, CFA
  *Registered Representative of ALPS Distributors, Inc.

Fund Details

- Inception Date: 9/15/2020
- Net Assets: $215.64MM
- Effective Duration: 4.48 years

Expense Ratios

<table>
<thead>
<tr>
<th></th>
<th>Total Operating Expenses</th>
<th>What You Pay**</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class I</td>
<td>0.94%</td>
<td>0.91%</td>
</tr>
<tr>
<td>Inv Class</td>
<td>1.21%</td>
<td>1.21%</td>
</tr>
<tr>
<td>Class A</td>
<td>1.21%</td>
<td>1.21%</td>
</tr>
<tr>
<td>Class C</td>
<td>1.91%</td>
<td>1.91%</td>
</tr>
</tbody>
</table>

**What You Pay reflects the Adviser’s and Sub-Adviser’s decision to contractually limit expenses through February 28, 2024. Please see the prospectus for additional information.

Fund Symbols & CUSIPs

- Class I: SMCRX 31761T803
- Inv Class: SMCVX 31761T506
- Class A: SMCAV 31761T605
- Class C: SMCCX 31761T704
ALPS | Smith Credit Opportunities Fund

Investment Objective
The Fund seeks to obtain maximum risk-adjusted return with a secondary focus on high current income.

Role in Portfolio
- Beyond Core - invests in a multi-sector portfolio of US credit securities with the potential for high current income and risk-adjusted returns.
- An active and flexible approach to managing across a variety of market and rate cycles.
- Experienced team with decades of credit expertise.

Partnership
SS&C ALPS Advisors
Headquartered in Denver, CO, SS&C ALPS Advisors is an open architecture boutique investment manager offering portfolio building blocks, active insight and an unwavering drive to guide clients to investment outcomes across sustainable income, thematic and alternative growth strategies.

Smith Capital Investors
Smith's investment-centric team averages 13+ years of experience, including previous rising rate environments. Their results are driven by a process with deep roots in fundamental research, complemented by quantitative validation and use of technology.

Credit Quality
Fund  |  Index
AAA   | 13.74% | 14.93%
AA    | 5.51% | 22.68%
A     | 28.70% | 6.17%
BBB   | 22.66% | 22.35%
BB    | 27.88% | 21.30%
CCC   | 1.88% | 5.62%
CC    | –      | 0.26%
C     | –      | 0.03%
D     | –      | 0.01%
D+    | –      | 0.01%
NR    | –      | 1.01%

Credit Quality is a measure of the credit worthiness and risk of a bond or portfolio, based on the issuer’s financial condition. AAA/Aaa is highest and D/Ds lowest. If applicable, the Pre-Retired/Agency category includes bonds which are secured by US Government Securities and therefore are deemed high-quality investment-grade by the Advisor. If applicable, the Not Rated category includes bonds that are not rated by a Nationally Recognized Statistical Rating Organization. Composite Rating Source: Bloomberg LP, ratings presented are a blend of a security’s Moody’s, S&P, Fitch and DBRS ratings. The rating agencies are evenly weighted when viewed on an agency-by-agency basis.

Important Disclosures & Definitions
An investor should consider the investment objectives, risks, charges and expenses carefully before investing. This material must be preceded or accompanied by the prospectus. Read the prospectus carefully before investing.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The characteristics presented reflect trade date + 1 information. A rise in interest rates typically causes bond prices to fall. The longer the duration of the bonds held by a fund, the more sensitive it will likely be to interest rate fluctuations. The Fund’s investments in fixed-income securities and positions in fixed-income derivatives may decline in value because of changes in interest rates. As nominal interest rates rise, the value of fixed-income securities and any long positions in fixed-income derivatives held by the Fund are likely to decrease, whereas the value of its short positions in fixed-income derivatives is likely to increase.

Overall securities market risks may affect the value of individual instruments in which the Fund invests. Factors such as domestic and foreign economic growth and market conditions, interest rate levels, and political events affect the securities and derivatives market. When the value of the Fund’s investments goes down, your investment in the Fund decreases in value and you could lose money.

Bloomberg US Aggregate Bond Index: A broad-based benchmark that measures the investment grade, US dollar-denominated, fixed-rate taxable bond market. The index includes Treasuries, government-related and corporate securities, fixed-rate agency MBS, ABS and CMBS (agency and non-agency).

Bloomberg US Corporate High Yield Bond Index: Measures the USD-denominated, high yield, fixed-rate corporate bond market.

One may not invest directly in an index.

ALPS Advisors, Inc. is the investment adviser to the Fund and Smith Capital Investors, LLC, is the investment sub-adviser to the Fund. ALPS Advisors, Inc., ALPS Distributors, Inc. and ALPS Portfolio Solutions Distributor, Inc., affiliated entities, are unaffiliated with Smith Capital Investors, LLC. ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund. Not FDIC Insured • No Bank Guarantee • May Lose Value

1-866-759-5679

SMT001151 1/31/2024

Top 10 Holdings

<table>
<thead>
<tr>
<th>Fund Index</th>
<th>30-Day SEC Yield</th>
<th>30-Day SEC Yield (Unsubsidized)</th>
<th>Trailing Twelve Month Yield</th>
</tr>
</thead>
<tbody>
<tr>
<td>Class I</td>
<td>6.85%</td>
<td>6.63%</td>
<td>5.44%</td>
</tr>
<tr>
<td>Class Inv</td>
<td>6.70%</td>
<td>6.58%</td>
<td>5.30%</td>
</tr>
<tr>
<td>Class A</td>
<td>6.86%</td>
<td>6.74%</td>
<td>5.16%</td>
</tr>
<tr>
<td>Class C</td>
<td>5.84%</td>
<td>5.72%</td>
<td>4.40%</td>
</tr>
</tbody>
</table>

1 30-Day SEC Yield: reflects the dividends and interest earned during the period, after the deduction of the Fund’s expenses.
2 30-Day SEC Yield (Unsubsidized): reflects the dividends and interest earned during the period, after the deduction of the Fund’s expenses, excluding fee waivers.
3 Trailing Twelve Month Yield: refers to the percentage of income a portfolio has returned to investors over the last 12 months. Past performance is no guarantee of future results.

Risk Statistics
For the three years ending 10/31/2023

<table>
<thead>
<tr>
<th>Fund</th>
<th>Index</th>
</tr>
</thead>
<tbody>
<tr>
<td>Alpha5</td>
<td>1.01</td>
</tr>
<tr>
<td>Beta6</td>
<td>0.97</td>
</tr>
<tr>
<td>R-squared (%)7</td>
<td>90.97</td>
</tr>
<tr>
<td>Standard Deviation7</td>
<td>6.64</td>
</tr>
<tr>
<td>Sharpe Ratio4</td>
<td>-0.44</td>
</tr>
<tr>
<td>Up Capture9</td>
<td>107</td>
</tr>
<tr>
<td>Down Capture10</td>
<td>93</td>
</tr>
</tbody>
</table>

5 Alpha: a measure of performance on a risk-adjusted basis; often considered the active return on an investment, the ratio gauges the performance of an investment against a market index used as a benchmark.
6 Beta: a measure of the volatility, or systematic risk, of a security or portfolio in comparison to the market or a benchmark.
7 R-squared: the percentage of a fund or security’s movements that can be explained by movements in a benchmark index.
8 Standard Deviation: a statistical measure that indicates the extent of deviation for a group as a whole.
9 Up Capture: a statistical measure of an investment manager’s relative performance during bull (up) markets.
10 Down Capture: a statistical measure of an investment manager’s relative performance during bear (down) markets.

Source: Bloomberg LP, as of 10/31/2023, subject to change.

Top 10 Holdings
<table>
<thead>
<tr>
<th>U.S. Treasury Bond</th>
<th>4 125%</th>
<th>08/15/2053</th>
<th>4.07%</th>
</tr>
</thead>
<tbody>
<tr>
<td>PNC Financial Services Group, Inc. 3M CME TERM SOFR + 3.939%</td>
<td>1.76%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Midwest Connector Capital Co. LLC 3.9%</td>
<td>1.68%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cloud Software Group, Inc. 6.5%</td>
<td>03/31/2029</td>
<td>1.63%</td>
<td></td>
</tr>
<tr>
<td>Penn Entertainment, Inc. 5.625%</td>
<td>01/15/2027</td>
<td>1.56%</td>
<td></td>
</tr>
<tr>
<td>Genesis Energy LP / Genesis Energy Finance Corp. 8%</td>
<td>01/15/2027</td>
<td>1.46%</td>
<td></td>
</tr>
<tr>
<td>Danske Bank A/S 1Y US TI + 1.35%</td>
<td>09/11/2026</td>
<td>1.44%</td>
<td></td>
</tr>
<tr>
<td>Targa Resources Partners LP / Targa Resources Partners Finance Corp. 6.875%</td>
<td>01/15/2029</td>
<td>1.37%</td>
<td></td>
</tr>
<tr>
<td>Cushman &amp; Wakefield US Borrower LLC 6.75%</td>
<td>05/15/2028</td>
<td>1.26%</td>
<td></td>
</tr>
<tr>
<td>Outfront Media Capital LLC / Outfront Media Capital Corp. 5%</td>
<td>08/15/2027</td>
<td>1.26%</td>
<td></td>
</tr>
</tbody>
</table>

Source: Bloomberg LP, as of 10/31/2023, subject to change.