

RiverFront Asset Allocation Growth & Income

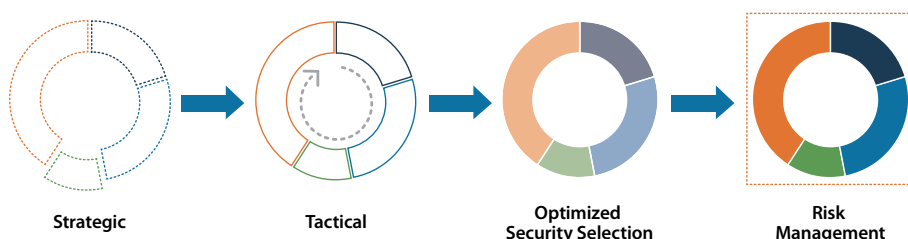
Fact Sheet | December 31, 2023

RiverFront Investment Group

RiverFront Investment Group, LLC (RiverFront) is a global asset manager utilizing a dynamic investment approach with uncommon transparency. We are a registered investment advisor whose employees maintain majority ownership. We provide flexible, risk managed solutions optimized according to investment time horizons and risk tolerances.

Dynamic Investment Process

Global Strategic Allocation with Tactical Tilts*

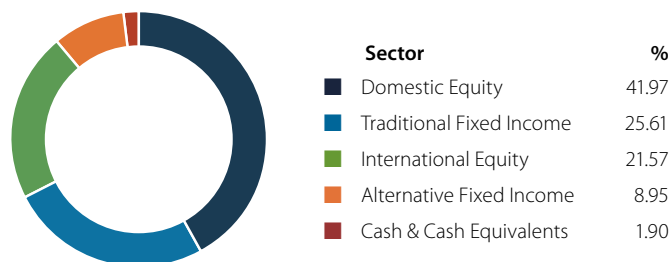


- 1 We design dynamic strategic allocation models.** Value drives our long-term allocation strategy. We believe the price you pay for an asset class is a key determinant of returns over time.
- 2 We tactically adjust the models.** This long-term strategy is then modified with tactical tilts designed to accommodate current market trends, putting greater weight on asset classes that have positive momentum. In other words, our asset allocation strategy seeks to combine our judgment of value with our sense of momentum, making our largest allocations when our evaluation of value and momentum are aligned.
- 3 We offer “the world in one account”,** meaning that we research and implement investments on a global basis. To do this successfully, we implement fundamental and technical disciplines into our security selection process.
- 4 We practice both proactive and reactive risk management** which includes putting tactical plans in place for a myriad of scenarios.

“THE ASSET MANAGER WITH GLASS WALLS®”

Constant communication and access to everything we do and why we are doing it

Portfolio Composition (% of Net Assets)



* Tilts: minor adjustments to the allocation.

Fund Details

Tickers & CUSIPs:

Class I	RLIIX	317609550
Inv Class	RLGAX	317609576
Class A	RAGIX	31761R278
Class C	RLGCX	317609568

Minimum Initial Investment:

Class I:	\$1,000,000
Class Inv, A & C:	\$500 for tax-deferred, \$2,500 for all others

Fund Stats:

Inception Date:	8/2/2010
Distributions Paid:	Quarterly
Total Net Assets:	\$78,564,079
Adviser:	ALPS Advisors, Inc.
Sub-Adviser:	RiverFront Investment Group, LLC

Fund Expenses

	Class I	Inv Class	Class A	Class C
Total Operating Expenses:	0.68%	0.93%	0.93%	1.68%

Description

The RiverFront Asset Allocation Growth & Income (the “Fund”) seeks to achieve long-term growth and income through a combination of capital appreciation and rising dividend payments that exceeds the average yield on global stocks generally. The Fund is designed for investors seeking current income and the potential for their income level to grow over time, while managing risk. The Fund will typically have a substantial allocation to dividend paying stocks; therefore, investors in this Fund should be able to assume a certain degree of portfolio volatility. The portfolio is expected to have between 60% and 90% of its assets invested in a diversified basket of dividend paying stocks, with the balance of the Fund (typically 10%-40%) invested in various other income-paying securities, including corporate debt. The Fund’s management team may depart from the targeted allocation range when they feel that certain sectors of the financial markets are over- or under-valued.

Performance as of 12/31/2023

Total Returns	3 M	YTD	Annualized				
			1 Y	3 Y	5 Y	10 Y	SI ¹
Class I (NAV)	9.24%	14.28%	14.28%	3.70%	7.19%	5.01%	6.42%
Inv Class (NAV)	9.25%	14.05%	14.05%	3.44%	6.92%	4.75%	6.16%
Class A (NAV)	9.26%	14.07%	14.07%	3.45%	6.93%	4.76%	6.17%
Class A (MOP)	3.29%	7.80%	7.80%	1.53%	5.72%	4.16%	5.72%
Class C (NAV)	9.05%	13.25%	13.25%	2.67%	6.14%	3.97%	5.37%
Class C (CDSC)	8.05%	12.25%	12.25%	2.67%	6.14%	3.97%	5.37%
Mstar Global Mkts - NR ²	11.05%	21.33%	21.33%	5.36%	11.37%	7.75%	8.98%
60% Mstar Global Mkts / 40% Bbg US Agg ^{2,3}	9.37%	14.88%	14.88%	1.98%	7.48%	5.58%	6.44%

Calendar Year Returns

Total Returns	2023	2022	2021	2020	2019	2018	2017	2016	2015	2014	2013	2012	2011
Class I (NAV)	14.28%	-14.08%	7.09%	18.51%	-11.13%	17.59%	6.81%	0.05%	3.14%	18.51%	10.65%	-2.25%	10.30%
Inv Class (NAV)	14.05%	-14.30%	6.78%	18.25%	-11.31%	17.31%	6.57%	-0.29%	2.95%	18.21%	10.34%	-2.45%	10.19%
Class A (NAV)	14.07%	-14.24%	6.79%	18.24%	-11.30%	17.31%	6.57%	-0.29%	2.95%	18.21%	10.34%	-2.45%	10.19%
Class A (MOP)	7.80%	-18.94%	0.95%	11.69%	-16.20%	10.83%	0.72%	-5.79%	-2.73%	11.74%	4.23%	-7.83%	4.15%
Class C (NAV)	13.25%	-14.94%	6.07%	17.35%	-12.03%	16.47%	5.79%	-1.01%	2.12%	17.34%	9.50%	-3.23%	9.86%
Class C (CDSC)	12.25%	-15.74%	5.07%	16.35%	-12.77%	15.47%	4.79%	-1.97%	1.15%	16.34%	8.50%	-4.19%	8.86%
Mstar Global Mkts - NR ²	21.33%	-18.18%	16.07%	26.24%	-9.82%	23.87%	8.22%	-2.04%	4.00%	23.32%	16.50%	-7.63%	13.21%
60% Mstar Global Mkts / 40% Bbg US Agg ^{2,3}	14.88%	-15.91%	13.43%	19.21%	-5.77%	15.35%	6.13%	-0.79%	4.87%	12.61%	11.70%	-1.30%	8.13%

Performance data quoted represents past performance. Past performance is no guarantee of future results so that shares, when redeemed, may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For current month-end performance call 1-866-759-5679 or visit www.alpsfunds.com. Performance includes reinvested distributions and capital gains.

Maximum Offering Price (MOP) performance for Class A shares includes the Fund's maximum sales charge of 5.50%.

Contingent Deferred Sales Charge (CDSC) performance for Class C shares includes a 1% CDSC on shares redeemed within 12-months of purchase. Performance shown at Net Asset Value (NAV) does not include these sales charges and would have been lower had it been taken into account.

Performance for Class A shares prior to 6/12/2018 reflects the historical performance of the Fund's Investor Class shares, calculated using the fees and expenses of Class A shares.

Performance less than one year is cumulative.

¹ Fund inception date: 8/2/2010

² Morningstar Global Markets Index: measures the performance of the stocks located in the developed and emerging countries across the world. Stocks in the index are weighted by their float capital, which removes corporate cross ownership, government holdings and other locked-in shares.

³ Bloomberg US Aggregate Bond Index: a broad-based benchmark that measures the investment grade, US dollar-denominated, fixed-rate taxable bond market. The index includes Treasuries, government-related and corporate securities, fixed-rate agency MBS, ABS and CMBS (agency and non-agency).

One may not invest directly in an index.

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted. All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

The performance of the Fund relative to its benchmark will depend largely on the decisions of the RiverFront Investment Group, LLC (the "Sub-Adviser" or "RiverFront") as to strategic asset allocation and tactical adjustments made to the asset allocation. At times, RiverFront's judgments as to the asset classes in which the Fund should invest may prove to be wrong, as some asset classes may perform worse than others or fixed income markets generally from time to time or for extended periods of time. The performance of the Fund is related to the

market sectors that RiverFront may choose to emphasize or deemphasize from time to time, as well as to the individual securities selected by RiverFront within those sectors. The investment returns for particular market sectors will fluctuate and may be lower than other sectors. In addition, the individual securities chosen for investment within a particular sector may underperform other securities within that same sector. Certain bonds selected for the Fund's portfolio may decline in value more than the overall bond markets.

The value of the Fund's investments in fixed-income securities will generally decrease when interest rates rise, which means the Fund's NAV will likewise decrease.

ALPS Advisors, Inc. and RiverFront Investment Group, LLC, registered investment advisers with the SEC, are the investment adviser and sub-adviser to the Fund, respectively. ALPS Advisors, Inc. and ALPS Portfolio Solutions Distributor, Inc., affiliated entities, are unaffiliated with RiverFront Investment Group, LLC.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

RIV001064 5/31/2024

Top 10 Holdings

RiverFront Dynamic US Dividend Advantage ETF	19.25%
First Trust RiverFront Dynamic Developed International ETF	14.22%
Vanguard Long-Term Corporate Bond ETF	9.36%
iShares® Core S&P 500® ETF	8.63%
RiverFront Dynamic Core Income ETF	6.52%
ALPS Active Equity Opportunity ETF	5.95%
FTHI/First Trust Exchange-Traded Fund VI FirstTrust BuyWrite Income ETF	5.91%
JPMorgan Equity Premium Income ETF	4.68%
First Trust RiverFront Dynamic Emerging Markets ETF	3.55%
iShares® 0-5 Year High Yield Corporate Bond ETF	3.49%
Totals	81.56%

As of 12/31/2023, subject to change

Solution Based Partnership

ALPS Advisors, Inc.

- Serves as Adviser to the Fund
- Specializes in manager oversight & evaluation
- Focuses on delivering innovative asset management solutions

RiverFront Investment Group

- Serves as Sub-Adviser to the Fund
- Applies a proprietary Price Matters® optimization process to provide comprehensive, tactical global allocation portfolios
- Utilizes a "Glass Walls" approach, stressing transparency in its advice model and its relationships within the financial services industry