

ALPS Active REIT ETF Portfolio Management

Ticker: REIT

GSI Capital Advisors, the Fund's Sub-Advisor

GSI Capital Advisors is an investment manager with proven and focused expertise capitalizing on investment opportunities in publicly traded real estate securities, primarily REITs. The firm is management-owned and headquartered in Newport Beach, CA.

Value Proposition



Independently Owned

Independent, management-owned institutional investment manager founded in 2005¹, headquartered in Newport Beach, CA.



Focused Expertise

Focused expertise capitalizing on investment opportunities in publicly traded real estate securities, primarily REITs.



Extensive Experience

Seasoned leadership and portfolio management team with over 75 years collective investment experience.



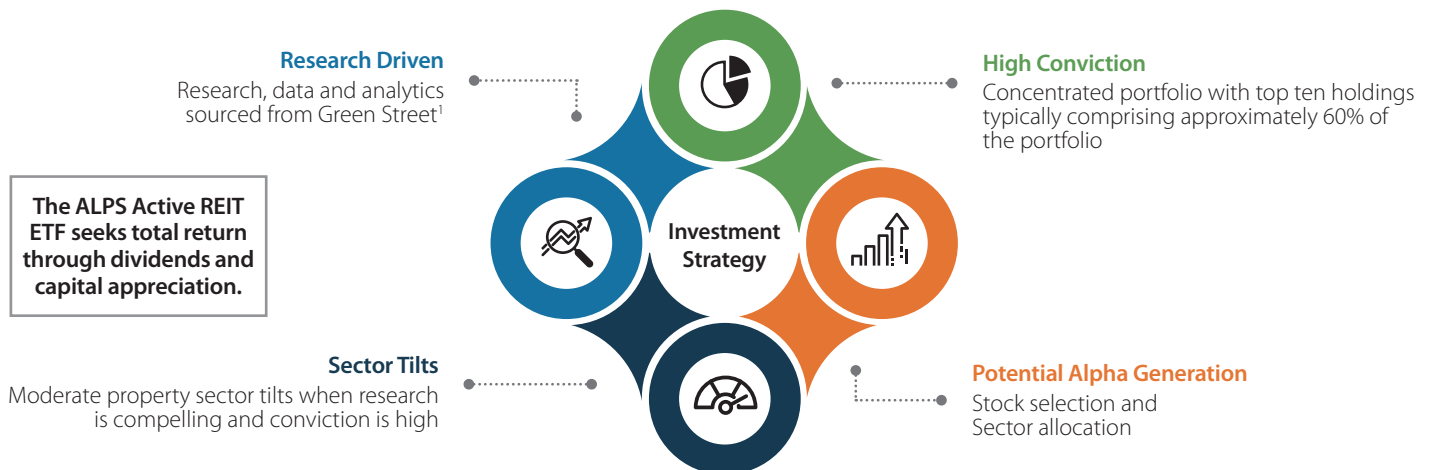
Potential Alpha Generation

16+ years managing REIT strategy with consistent alpha generation over different cycles and market conditions.

¹ GSI Capital Advisors is the former investment management affiliate of Green Street, a leading real estate investment research firm. As of October 1, 2020, GSI Capital Advisors is no longer owned by or affiliated with Green Street or its affiliates. GSI Capital Advisors' members and leadership team are no longer employed by or affiliated with Green Street.

Investment Strategy

High conviction strategy with flexible and active approach to invest across core and non-core spectrum of REITs



¹ Research and data provided by Green Street. As of October 1, 2020, GSI Capital is no longer owned by or affiliated with Green Street or its affiliates. GSI Capital's members and leadership team are no longer employed by or affiliated with Green Street.

Team



Craig Leupold
Chief Executive Officer

- 30+ years of industry experience
- Former Chief Executive Officer of Green Street; Led growth, expansion and success of the firm for more than a decade
- Prior experience: Established and managed Green Street's residential research efforts; Kenneth Leventhal & Co
- MBA in Real Estate/Finance: Columbia University



Julia Pence
Portfolio Manager

- 17 years of industry experience
- Responsible for managing and monitoring investment activity
- Prior experience: Green Street research analyst assisting with strategic coverage of healthcare, strip center and mall sectors
- MBA in Finance: University of California-Los Angeles



Nicholas Tannura
Chief Investment Officer

- 30+ years of industry experience
- Responsible for investment process and portfolio management efforts of the firm
- Prior experience: ownership and management of a \$7B investment management business that was acquired by Brookfield; Founder and portfolio manager of Silverpath Capital Management, a real asset hedge fund
- MBA in International Finance: University of Chicago



Lulu Zhang
Head of Investor Relations

- 9 years of industry experience
- Oversees investor relations and operations
- Prior experience: GCM Grosvenor, UBS Financial Services, KPMG Advisory
- BBA Mendoza College of Business: University of Notre Dame

Investment Process



¹ Research and data provided by Green Street. As of October 1, 2020, GSI Capital is no longer owned by or affiliated with Green Street or its affiliates. GSI Capital's members and leadership team are no longer employed by or affiliated with Green Street.

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

Effective 8/22/2023, the Fund will no longer operate as a semi-transparent actively-managed ETF and will instead operate as a traditional, fully transparent actively-managed ETF in reliance on Rule 6c-11 under the Investment Company Act of 1940.

Diversification does not eliminate the risk of experiencing investment losses.

Ownership of real estate is subject to fluctuations in the value of underlying properties, the impact of economic conditions on real estate values, the strength of specific industries renting properties and defaults by borrowers or tenants. Real estate is a cyclical business, highly sensitive to general and local economic conditions and developments, and characterized by intense competition and periodic overbuilding. Credit and interest rate risk may affect real estate companies' ability to borrow or lend money.

The Fund will primarily invest in publicly traded common equity securities of US REITs. The Fund may also invest a portion of its assets in publicly traded common equity of US real estate operating companies (not structured as REITs), publicly traded preferred equity of US REITs and real estate operating companies, and cash and cash equivalents.

Real Estate Investment Trust (REIT): companies that own or finance income-producing real estate across a range of property sectors. Listed REITs have characteristics of both the income potential of bonds and growth potential of stocks.

ALPS Advisors, Inc. is the investment adviser to the Fund and GSI Capital Advisors, LLC is the investment sub-adviser to the Fund. ALPS Advisors, Inc. and ALPS Portfolio Solutions Distributor, Inc., affiliated entities, are unaffiliated with GSI Capital Advisors, LLC.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

ARE000262 4/30/2024