

2024 Year-End Distribution Estimates

The per share distribution amounts listed below are capital gain estimates as of October 31, 2024 and ordinary income estimates as of November 14, 2024 based on preliminary information. **These figures are NOT to be used in the computation of Federal and/or State income taxes. The actual distribution amounts will be posted to alpsfunds.com on the ex-dividend date.**

| Fund | Ticker | Ordinary Income Estimate | Short-Term Capital Gains Estimate | Long-Term Capital Gains Estimate | NAV at 11/14/2024 | Total Distribution Estimate as a % of NAV |
|--|------------------------------|------------------------------|-----------------------------------|----------------------------------|-------------------|---|
| ALPS CoreCommodity Management CompleteCommodities Strategy Fund | | | | | | |
| Record Date: 12/9/2024 | Ex-Dividend Date: 12/10/2024 | Payable Date: 12/11/2024 | | | | |
| Class I | JCRIX | \$0.15051 | — | — | \$7.32 | 2.1% |
| Investor Class | JCRAX | \$0.15051 | — | — | \$7.17 | 2.1% |
| Class A | JCCSX | \$0.15051 | — | — | \$7.20 | 2.1% |
| Class C | JCRCX | \$0.15051 | — | — | \$6.47 | 2.3% |
| ALPS CoreCommodity Natural Resources ETF | | | | | | |
| Record Date: 12/10/2024 | Ex-Dividend Date: 12/10/2024 | Payable Date: 12/11/2024 | | | | |
| Single Class | CCNR | \$0.2206 | — | — | \$24.32 | 0.9% |
| ALPS Kotak India ESG Fund | | | | | | |
| Record Date: 12/9/2024 | Ex-Dividend Date: 12/10/2024 | Payable Date: 12/11/2024 | | | | |
| Class I | INDIX | \$0.0800 | — | \$2.5908 | \$19.99 | 13.4% |
| Class II | INDSX | \$0.0800 | — | \$2.5908 | \$20.23 | 13.2% |
| Investor Class | INDAX | \$0.0800 | — | \$2.5908 | \$19.07 | 14.0% |
| Class A | INAAX | \$0.0800 | — | \$2.5908 | \$19.03 | 14.0% |
| Class C | INFCX | \$0.0800 | — | \$2.5908 | \$16.96 | 15.7% |
| ALPS Smith Credit Opportunities Fund | | | | | | |
| Capital Gains: | Record Date: 12/9/2024 | Ex-Dividend Date: 12/10/2024 | Payable Date: 12/11/2024 | | | |
| Ordinary Income: | Record Date: 12/23/2024 | Ex-Dividend Date: 12/24/2024 | Payable Date: 12/26/2024 | | | |
| Class I | SMCRX | * | — | — | \$9.17 | * |
| Investor Class | SMCVX | * | — | — | \$9.23 | * |
| Class A | SMCAX | * | — | — | \$9.19 | * |
| Class C | SMCCX | * | — | — | \$9.15 | * |

* Fund pays ordinary income distributions on a monthly basis and therefore no estimate is provided for year-end distributions.

2024 Year-End Distribution Estimates

| Fund | Ticker | Ordinary Income Estimate | Short-Term Capital Gains Estimate | Long-Term Capital Gains Estimate | NAV at 11/14/2024 | Total Distribution Estimate as a % of NAV |
|--|-------------------------|------------------------------|-----------------------------------|----------------------------------|-------------------|---|
| ALPS Smith Short Duration Bond Fund | | | | | | |
| Capital Gains: | Record Date: 12/9/2024 | Ex-Dividend Date: 12/10/2024 | Payable Date: 12/11/2024 | | | |
| Ordinary Income: | Record Date: 12/23/2024 | Ex-Dividend Date: 12/24/2024 | Payable Date: 12/26/2024 | | | |
| Class I | SMDSX | * | — | — | \$10.22 | * |
| Investor Class | SMRSX | * | — | — | \$10.22 | * |
| Class A | SMASX | * | — | — | \$10.22 | * |
| Class C | SMCMX | * | — | — | \$10.17 | * |
| ALPS Smith Total Return Bond Fund | | | | | | |
| Capital Gains: | Record Date: 12/9/2024 | Ex-Dividend Date: 12/10/2024 | Payable Date: 12/11/2024 | | | |
| Ordinary Income: | Record Date: 12/23/2024 | Ex-Dividend Date: 12/24/2024 | Payable Date: 12/26/2024 | | | |
| Class I | SMTHX | * | — | — | \$9.60 | * |
| Investor Class | SMTRX | * | — | — | \$9.61 | * |
| Class A | SMAMX | * | — | — | \$9.61 | * |
| Class C | SMCHX | * | — | — | \$9.58 | * |
| ALPS Balanced Opportunity Fund | | | | | | |
| Capital Gains: | Record Date: 12/9/2024 | Ex-Dividend Date: 12/10/2024 | Payable Date: 12/11/2024 | | | |
| Ordinary Income: | Record Date: 12/23/2024 | Ex-Dividend Date: 12/24/2024 | Payable Date: 12/26/2024 | | | |
| Class I | ALPBX | * | — | \$1.1128 | \$13.57 | 8.2% |
| Investor Class | ALIBX | * | — | \$1.1128 | \$13.61 | 8.2% |
| Class A | ALABX | * | — | \$1.1128 | \$13.61 | 8.2% |
| Class C | ALCBX | * | — | \$1.1128 | \$13.50 | 8.2% |

* Fund pays ordinary income distributions on a monthly basis and therefore no estimate is provided for year-end distributions.

2024 Year-End Distribution Estimates

| Fund | Ticker | Ordinary Income Estimate | Short-Term Capital Gains Estimate | Long-Term Capital Gains Estimate | NAV at 11/14/2024 | Total Distribution Estimate as a % of NAV |
|--|------------------------------|--------------------------|-----------------------------------|----------------------------------|-------------------|---|
| ALPS Global Opportunity Fund | | | | | | |
| Record Date: 12/9/2024 | Ex-Dividend Date: 12/10/2024 | Payable Date: 12/11/2024 | | | | |
| Class I | LPEIX | \$0.7541 | — | \$0.2742 | \$7.87 | 13.1% |
| Investor Class | LPEFX | \$0.7541 | — | \$0.2742 | \$7.56 | 13.6% |
| Class A | LPFAX | \$0.7541 | — | \$0.2742 | \$7.51 | 13.7% |
| Class C | LPFCX | \$0.7541 | — | \$0.2742 | \$6.56 | 15.7% |
| Class R | LPERX | \$0.7541 | — | \$0.2742 | \$5.48 | 18.8% |
| RiverFront Asset Allocation Growth & Income | | | | | | |
| Record Date: 12/23/2024 | Ex-Dividend Date: 12/24/2024 | Payable Date: 12/26/2024 | | | | |
| Class I | RLIIX | \$0.0407 | — | — | \$14.18 | 0.3% |
| Investor Class | RLGAX | \$0.0407 | — | — | \$14.23 | 0.3% |
| Class A | RAGIX | \$0.0407 | — | — | \$14.22 | 0.3% |
| Class C | RLGCX | \$0.0407 | — | — | \$13.65 | 0.3% |

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

Shares of the ALPS | CoreCommodity Natural Resources ETF are bought and sold at market price (not NAV) and are not individually redeemable.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

Effective 10/4/2024, the ALPS | Smith Balanced Opportunity Fund changed its name to the ALPS Balanced Opportunity Fund. Additionally, Smith Capital Investors, LLC ceased to serve as sub-advisor to the Fund and ALPS Advisors, Inc., the Fund's investment adviser, assumed all responsibility for selecting the Fund's investments.

The ALPS | CoreCommodity Natural Resources ETF is new and has limited operating history.

This notice is provided to you for informational purposes only, and should not be considered tax advice. Please consult your tax advisor for further assistance.

ALPS Advisors, Inc., registered investment adviser with the SEC, is the investment adviser to the Funds. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Funds.

Not FDIC Insured • No Bank Guarantee • May Lose Value

APS002624 12/31/2025