

Fund Offerings

SS&C ALPS Advisors

June 30, 2025

Exchange-Traded Funds (ETFs)

A diverse lineup of active and passive ETFs that span numerous strategies and are designed to perform in all market conditions.

| | TICKER | FUND NAME | INCEPTION DATE | FOCUS |
|-----------|--------|---|---------------------------|--------------------------------------|
| Midstream | AMPLP | Alerian MLP ETF | 8/24/2010 | Master Limited Partnerships (MLPs) |
| | ENFR | Alerian Energy Infrastructure ETF | 10/31/2013 | North American Energy Infrastructure |
| Active | MNBD | ALPS Intermediate Municipal Bond ETF | 5/19/2022 | Fixed Income |
| | SMTH | ALPS Smith Core Plus Bond ETF | 12/5/2023 | Fixed Income |
| | REIT | ALPS Active REIT ETF | 2/25/2021 | US Real Estate |
| | CCNR | ALPS CoreCommodity Natural Resources ETF | 7/10/2024 | Natural Resources |
| | RIGS | RiverFront Strategic Income Fund | 10/7/2013 | Fixed Income |
| | RFFC | ALPS Active Equity Opportunity ETF | 6/6/2016 | Differentiated Value |
| | RFCI | RiverFront Dynamic Core Income ETF | 6/13/2016 | Fixed Income |
| | RFDA | RiverFront Dynamic US Dividend Advantage ETF | 6/6/2016 | Differentiated Value |
| | LGRO | Level Four Large Cap Growth Active ETF | 8/22/2023 | Large-Cap Equity |
| Factor | SDOG | ALPS Sector Dividend Dogs ETF | 6/29/2012 | Dividend/Quality |
| | IDOG | ALPS International Sector Dividend Dogs ETF | 6/27/2013 | Dividend/Value |
| | EDOG | ALPS Emerging Sector Dividend Dogs ETF | 3/27/2014 | Dividend/Value |
| | RDOG | ALPS REIT Dividend Dogs ETF | 5/7/2008 | US Real Estate |
| | OUSA | ALPS O'Shares U.S. Quality Dividend ETF | 7/14/2015 ⁽¹⁾ | Dividend/Quality |
| | OUSM | ALPS O'Shares U.S. Small-Cap Quality Dividend ETF | 12/30/2016 ⁽¹⁾ | Dividend/Small-Cap |
| | OEUR | ALPS O'Shares Europe Quality Dividend ETF | 8/19/2025 ⁽¹⁾ | Dividend/Quality |
| | BFOR | Barron's 400 ETF | 6/3/2013 | All-Cap Growth |
| | EQL | ALPS Equal Sector Weight ETF | 7/6/2009 | Large-Cap Equity |
| Thematic | ACES | ALPS Clean Energy ETF | 6/28/2018 | Clean Energy |
| | DTEC | ALPS Disruptive Technologies ETF | 12/28/2017 | Disruptive Technologies |
| | SBIO | ALPS Medical Breakthroughs ETF | 12/30/2014 | Drug Innovation |
| | OGIG | ALPS O'Shares Global Internet Giants ETF | 6/5/2018 ⁽¹⁾ | Global Technology |
| | ELFY | ALPS Electrification Infrastructure ETF | 4/9/2025 | Electrification Infrastructure |

⁽¹⁾ Date reflects the commencement of investment operations.

Mutual Funds

An actively managed collection of mutual funds that offer specialization across geographies, asset classes and objectives.

| FUND NAME | INCEPTION DATE | FOCUS | INVESTMENT STYLE | AVAILABLE CLASSES |
|---|----------------|------------------|------------------|--|
| ALPS Asset Allocation Growth & Income ⁽¹⁾ | 8/2/2010 | Asset Allocation | Active | I (RLIIX), Inv (RLGAX), A (RAGIX), C (RLGCX) |
| ALPS Balanced Opportunity Fund ⁽²⁾ | 9/15/2020 | Asset Allocation | Active | I (ALPBX), Inv (ALIBX), A (ALABX), C (ALCBX) |
| ALPS Global Opportunity Fund | 12/31/2007 | Global Equity | Active | I (LPEIX), Inv (LPEFX), A (LPFAX), C (LPFCX), R (LPERX) |
| ALPS CoreCommodity Management CompleteCommodities Strategy Fund | 6/29/2010 | Commodities | Active | I (JCRIX), Inv (JCRAx), A (JCCSX), C (JCRCX) |
| ALPS Kotak India ESG Fund | 2/14/2011 | India | Active | I (INDIX), II (INDSX), Inv (INDAX), A (INAAX), C (INFCX) |
| ALPS Smith Credit Opportunities Fund | 9/15/2020 | Fixed Income | Active | I (SMCRX), Inv (SMCVX), A (SMCAX), C (SMCCX) |
| ALPS Smith Short Duration Bond Fund | 6/29/2018 | Fixed Income | Active | I (SMDSX), Inv (SMRSX), A (SMASX), C (SMCMX) |
| ALPS Smith Total Return Bond Fund | 6/29/2018 | Fixed Income | Active | I (SMTHX), Inv (SMTRX), A (SMAMX), C (SMCHX) |

⁽¹⁾ Effective 2/28/2025, the RiverFront Asset Allocation Growth & Income changed its name to the ALPS Asset Allocation Growth & Income. Additionally, RiverFront Investment Group, LLC ceased to serve as sub-advisor to the Fund and ALPS Advisors, Inc., the Fund's investment adviser, assumed all responsibility for selecting the Fund's investments.

⁽²⁾ Effective 10/4/2024, the ALPS | Smith Balanced Opportunity Fund changed its name to the ALPS Balanced Opportunity Fund. Additionally, Smith Capital Investors, LLC ceased to serve as sub-adviser to the Fund and ALPS Advisors, Inc., the Fund's investment adviser, assumed all responsibility for selecting the Fund's investments.

Variable Insurance Trusts

An insurance lineup that spans alternative income, international and asset allocation investment goals.

| FUND NAME | INCEPTION DATE | FOCUS | AVAILABLE CLASSES |
|--|----------------|--------------------------------------|----------------------|
| ALPS Global Opportunity Portfolio | 10/24/2014 | Global Equity | I (n/a), III (AVPEX) |
| Morningstar Aggressive Growth ETF Asset Allocation Portfolio | 4/30/2007 | Asset Allocation | I (n/a), II (AGTFX) |
| Morningstar Balanced ETF Asset Allocation Portfolio | 4/30/2007 | Asset Allocation | I (n/a), II (BETFX) |
| Morningstar Conservative ETF Asset Allocation Portfolio | 4/30/2007 | Asset Allocation | I (n/a), II (CETFX) |
| Morningstar Growth ETF Asset Allocation Portfolio | 4/30/2007 | Asset Allocation | I (n/a), II (GETFX) |
| Morningstar Income and Growth ETF Asset Allocation Portfolio | 4/30/2007 | Asset Allocation | I (n/a), II (IETFX) |
| ALPS Alerian Energy Infrastructure Portfolio | 4/30/2013 | North American Energy Infrastructure | I (n/a), III (ALEFX) |

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

Please note that product availability may vary by firm.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

The ALPS Electrification Infrastructure ETF is new and has limited operating history.

Shares of the Portfolios are only offered to participating insurance companies and their separate accounts to fund the benefits of variable annuity contracts and variable life insurance policies.

Shares of the Portfolios also may be used as investment vehicles for qualified pension and retirement plans and certain registered and unregistered separate accounts.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of an investment is available in the prospectus.

Asset allocation cannot assure a profit nor protect against a loss. The stocks of smaller companies are subject to above-average market price fluctuations. There are specific risks associated with international investing, such as currency fluctuations, foreign taxation, differences in financial reporting practices and rapid changes in political and economic conditions. Fixed income securities are subject to interest rate risk, prepayment risk and market risk. Commodity trading is highly speculative and involves a high degree of risk. Funds focusing on a single sector generally experience greater price volatility. Real estate investments are subject to specific risks, such as risks related to general and local economic conditions and risks related to individual properties.

ALPS Advisors, Inc., registered investment adviser with the SEC, is the investment adviser to the Funds. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Funds.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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