

2023 Year-End Distribution Estimates

The per share distribution amounts listed below are capital gain estimates as of October 31, 2023 and ordinary income estimates as of November 10, 2023 based on preliminary information. **These figures are NOT to be used in the computation of federal and/or state income taxes. The actual distribution amounts will be posted to alpsfunds.com on the ex-dividend date.**

Fund	Ticker	Ordinary Income Estimate	Short-Term Capital Gains Estimate	Long-Term Capital Gains Estimate	NAV at 11/10/2023	Total Distribution Estimate as a % of NAV
ALPS CoreCommodity Management CompleteCommodities Strategy Fund						
Record Date: 12/6/2023	Ex-Dividend Date: 12/7/2023	Payable Date: 12/8/2023				
Class I	JCRIX	\$0.1771	—	\$0.0292	\$7.45	2.8%
Investor Class	JCRAX	\$0.1771	—	\$0.0292	\$7.31	2.8%
Class A	JCCSX	\$0.1771	—	\$0.0292	\$7.33	2.8%
Class C	JCRCX	\$0.1771	—	\$0.0292	\$6.65	3.1%
ALPS Kotak India ESG Fund						
Record Date: 12/6/2023	Ex-Dividend Date: 12/7/2023	Payable Date: 12/8/2023				
Class I	INDIX	—	—	\$0.7006	\$17.47	4.0%
Class II	INDSX	—	—	\$0.7006	\$17.64	4.0%
Investor Class	INDAX	—	—	\$0.7006	\$16.74	4.2%
Class A	INAAX	—	—	\$0.7006	\$16.71	4.2%
Class C	INFCX	—	—	\$0.7006	\$15.08	4.6%
ALPS Global Opportunity Fund						
Record Date: 12/6/2023	Ex-Dividend Date: 12/7/2023	Payable Date: 12/8/2023				
Class I	LPEIX	\$0.3445	—	—	\$6.15	5.6%
Investor Class	LPEFX	\$0.3445	—	—	\$5.94	5.8%
Class A	LPFAX	\$0.3445	—	—	\$5.90	5.8%
Class C	LPFCX	\$0.3445	—	—	\$5.22	6.6%
Class R	LPERX	\$0.3445	—	—	\$4.40	7.8%
ALPS Smith Balanced Opportunity Fund						
Capital Gains: Record Date: 12/6/2023	Ex-Dividend Date: 12/7/2023	Payable Date: 12/8/2023				
Ordinary Income: Record Date: 12/21/2023	Ex-Dividend Date: 12/22/2023	Payable Date: 12/26/2023				
Class I	ALPBX	*	—	—	\$10.98	0.0%
Investor Class	ALIBX	*	—	—	\$10.98	0.0%
Class A	ALABX	*	—	—	\$10.98	0.0%
Class C	ALCBX	*	—	—	\$10.92	0.0%

* Fund pays ordinary income distributions on a monthly basis and therefore no estimate is provided for year-end distributions.

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Fund	Ticker	Ordinary Income Estimate	Short-Term Capital Gains Estimate	Long-Term Capital Gains Estimate	NAV at 11/10/2023	Total Distribution Estimate as a % of NAV
ALPS Smith Credit Opportunities Fund						
Capital Gains:	Record Date: 12/6/2023	Ex-Dividend Date: 12/7/2023	Payable Date: 12/8/2023			
Ordinary Income:	Record Date: 12/21/2023	Ex-Dividend Date: 12/22/2023	Payable Date: 12/26/2023			
Class I	SMCRX	*	—	—	\$8.69	0.0%
Investor Class	SMCVX	*	—	—	\$8.68	0.0%
Class A	SMCAX	*	—	—	\$8.69	0.0%
Class C	SMCCX	*	—	—	\$8.69	0.0%
ALPS Smith Short Duration Bond Fund						
Capital Gains:	Record Date: 12/6/2023	Ex-Dividend Date: 12/7/2023	Payable Date: 12/8/2023			
Ordinary Income:	Record Date: 12/21/2023	Ex-Dividend Date: 12/22/2023	Payable Date: 12/26/2023			
Class I	SMDSX	*	—	—	\$10.02	0.0%
Investor Class	SMRSX	*	—	—	\$10.02	0.0%
Class A	SMASX	*	—	—	\$10.01	0.0%
Class C	SMCMX	*	—	—	\$9.97	0.0%
ALPS Smith Total Return Bond Fund						
Capital Gains:	Record Date: 12/6/2023	Ex-Dividend Date: 12/7/2023	Payable Date: 12/8/2023			
Ordinary Income:	Record Date: 12/21/2023	Ex-Dividend Date: 12/22/2023	Payable Date: 12/26/2023			
Class I	SMTHX	*	—	—	\$9.21	0.0%
Investor Class	SMTRX	*	—	—	\$9.21	0.0%
Class A	SMAMX	*	—	—	\$9.22	0.0%
Class C	SMCHX	*	—	—	\$9.19	0.0%
RiverFront Asset Allocation Growth & Income						
Record Date: 12/21/2023	Ex-Dividend Date: 12/22/2023	Payable Date: 12/26/2023				
Class I	RLIIX	\$0.0441	—	—	\$12.04	0.4%
Investor Class	RLGAX	\$0.0441	—	—	\$12.08	0.4%
Class A	RAGIX	\$0.0441	—	—	\$12.07	0.4%
Class C	RLGCX	\$0.0441	—	—	\$11.60	0.4%

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Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

Effective January 24, 2023, the ALPS | Red Rocks Global Opportunity Fund changed its name to the ALPS Global Opportunity Fund.

This notice is provided to you for informational purposes only, and should not be considered tax advice. Please consult your tax advisor for further assistance.

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