## Form **8937**(December 2017) Department of the Treasury Internal Revenue Service

## Report of Organizational Actions Affecting Basis of Securities

► See separate instructions.

OMB No. 1545-0123

Part I	Reporting	Issuer			•
1 Issue	er's name				2 Issuer's employer identification number (EIN)
					0.4.047500
	EIT DIVIDEND DOO  ne of contact for add		1 Telephor	ne No. of contact	26-1867539 <b>5</b> Email address of contact
3 Ivan	ie or contact for act	ditional information	Telephoi	ie No. of Contact	5 Linai address of contact
ALPS FU	JND SERVICES			303-623-2577	
		P.O. box if mail is not	7 City, town, or post office, state, and ZIP code of contact		
	ROADWAY, SUITE	1000			DENVER, CO 80203
8 Date	e of action		9 Class	sification and description	
NOVEM	DED 20, 2020		0014140	NI CTOOK	
	BER 30, 2020 SIP number	11 Serial number		N STOCK 12 Ticker symbol	13 Account number(s)
			(-)		(-)
(	00162Q106			RDOG	
Part II	Organization	onal Action Atta	ch additiona	statements if needed.	See back of form for additional questions.
				e date of the action or the	date against which shareholders' ownership is measured for
the	e action ► SEE AT	TTACHED STATEMI	ENT		
<b>15</b> De	escribe the quantitat	tive effect of the orga	anizational ac	tion on the basis of the se	curity in the hands of a U.S. taxpayer as an adjustment per
					OF CAPITAL IN THE ATTACHED STATEMENT
				AX BASIS ON SHARES I	
<b>16</b> De	scribe the calculation	on of the change in l	nacic and the	data that supports the cal	culation, such as the market values of securities and the
		E LINE 15 ABOVE.	Jasis and the	data triat supports trie cai	culation, such as the market values of securities and the
•	SEI	E LINE 13 ABOVE.			
	<u> </u>				

## Part II Organizational Action (continued)

<b>17</b> Lis	et the	annlicable In	ternal Revenue Code	soction(s)	and subsection(s) upo	on which the tax tr	oatmont is based I	DUDSHANT TO IDC				
								PURSUANT TO IRC CTION 316) IS INCLUDAE				
			SUANT TO IRC SECT					· · · · · · · · · · · · · · · · · · ·	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
			AINST AND REDUCE									
<b>18</b> Ca	an anv	resultina los	ss be recognized? ▶	NOT APP	LICABLE TO THIS T	RANSACTION AS	NO LOSS WOUL	D BE RECOGNIZED				
			PITAL DISTRIBUTION									
								OSITION OF THE SHARE	S			
<b>19</b> Pr	ovide	any other in	formation necessary to	o impleme	nt the adjustment, suc	h as the reportabl	e tax year ► PURS	SUANT TO IRC SECTION	l 6045,			
			MERGENCY ECONOR									
								RED TO PROVIDE COST				
BASIS	TO A	PARTICUL <i>A</i>	R SHAREHOLDER,	THE COS	T BASIS OF THE SHA	AREHOLDER'S SI	HARES SHOULD	BE ADJUSTED AS OF				
			REFLECT THE RETU									
	Unde	r penalties of	perjury, I declare that I ha	ave examin	ed this return, including	accompanying sched	dules and statements	s, and to the best of my know	vledge and			
			ect, and complete. Decla						Ü			
Sign												
Here	Signa	A SIGNED COPY OF THIS TAX FORM IS MAINTAINED AT						THE OFFICE O	F			
	Jugita		THE TAXPAY	ER.					<u> </u>			
	Print :	your name ▶										
Deid	1 11111		reparer's name	Р	reparer's signature		Date	Charle I if PTIN				
Paid			•					Check if self-employed				
Prepa		Firm's name	<b>•</b>					Firm's EIN ▶				
Use C	nly	Firm's name Firm's addre						Phone no.				
Send Fo	rm 80			ments) to:	Department of the Tro	asury Internal Re	venue Service Od					
Jona I C	,,,,,,	or monumit	, accompanying states	8937 (including accompanying statements) to: Department of the Treasury, Internal Revenue Service, Ogden, UT 84201-0054								

## PART II, QUESTION 14:

THE CORPORATION DECLARED THE FOLLOWING DISTRIBUTION TO SHAREHOLDERS DURING THE YEAR ENDED NOVEMBER 30, 2020 THAT RETURN OF CAPITAL WAS APPLICABLE.

DETAIL OF THE DISTRIBUTION MADE IS DESCRIBED BELOW:

Ī					TAXABLE	RETURN OF
ı	RECORD		PAYABLE		DIVIDEND	CAPITAL
ı	DATE	<b>EX-DATE</b>	DATE	AMOUNT	<b>AMOUNT</b>	AMOUNT
I	3/20/2020	3/19/2020	3/26/2020	\$ 0.684400	\$ 0.502602	\$ 0.181798
ſ	6/19/2020	6/18/2020	6/25/2020	\$ 0.421100	\$ 0.309243	\$ 0.111857
I	9/18/2020	9/17/2020	9/24/2020	\$ 0.348200	\$ 0.255707	\$ 0.092493
ſ	Total			\$ 1.453700	\$ 1.067552	\$ 0.386148