► See separate instructions.

ALPS FUND SERVICES 303-623-2577 6 Number and street (or P.O. box if mail is not delivered to street address) of contact Image: Contact is a contact is contact is a contact is a contact is contact	DENVER, CO 80203
3 Name of contact for additional information 4 Telephone No. of contact ALPS FUND SERVICES 303-623-2577 6 Number and street (or P.O. box if mail is not delivered to street address) of contact 1290 BROADWAY, SUITE 1000 8 Date of action 9 Classification and description 10/31/2021 COMMON STOCK 10 CUSIP number 11 Serial number(s) 12 Ticker symbol 1 SEE ATTACHED SEE ATTACHED SEE ATTACHED 1 Part II Organizational Action Attach additional statements if needed. See back 14 Describe the organizational action and, if applicable, the date of the action or the date against	 5 Email address of contact 7 City, town, or post office, state, and ZIP code of contact DENVER, CO 80203 13 Account number(s) a of form for additional questions.
6 Number and street (or P.O. box if mail is not delivered to street address) of contact 1290 BROADWAY, SUITE 1000 D 8 Date of action 9 Classification and description 10/31/2021 COMMON STOCK 10 CUSIP number 11 Serial number(s) 12 Ticker symbol SEE ATTACHED SEE ATTACHED SEE ATTACHED Part II Organizational Action Attach additional statements if needed. See back 14 Describe the organizational action and, if applicable, the date of the action or the date against	3 Account number(s)
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Part IIOrganizational Action Attach additional statements if needed. See back14Describe the organizational action and, if applicable, the date of the action or the date against	
	st which shareholders' ownership is measured for
the action SEE ATTACHED STATEMENT	
15 Describe the quantitative effect of the organizational action on the basis of the security in the	
share or as a percentage of old basis ► THE AMOUNT SHOWN AS RETURN OF CAPITAL	IN THE ATTACHED STATEMENT REPRESENTS
A REDUCTION OF THE SHAREHOLDERS TAX BASIS ON SHARES HELD.	
16 Describe the calculation of the change in basis and the data that supports the calculation, su	uch as the market values of securities and the
valuation dates ESEE LINE 15 ABOVE.	

Part II Organizational Action (continued)

 17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based
 PURSUANT TO IRC

 SECTION 301(C)(1), THE PORTION OF A DISTRIBUTION WHICH IS A DIVIDEND (AS DEFINED UNDER IRC SECTION 316) IS INCLUDABLE

 IN GROSS INCOME. PURSUANT TO IRC SECTION 301(C)(2), THE PORTION OF THE DISTRIBUTION WHICH IS NOT A DIVIDEND

 SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED BASIS OF THE STOCK.

18 Can any resulting loss be recognized? NOT APPLICABLE TO THIS TRANSACTION AS NO LOSS WOULD BE RECOGNIZED ON THE RETURN OF CAPITAL DISTRIBUTION. THE SHAREHOLDER'S COST BASIS SHOULD BE ADJUSTED TO REFLECT THE RETURN OF CAPITAL DISTRIBUTION WHICH MAY AFFECT THE GAIN OR LOSS REALIZED UPON THE DISPOSITION OF THE SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► <u>PURSUANT TO IRC SECTION 6045</u>, AS AMENDED BY THE EMERGENCY ECONOMIC STABILIZATION ACT OF 2008, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN THE COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DIVIDEND DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE.

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Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

		SIGNED COPY OF THIS TAX FORM IS MAINTAINED AT THE OFFICES OF										
	IHE	TAXPAYER.										
Paid Prepa	aror	Print/Type preparer's name	Preparer's signature	Date	Check if self-employed	TIN						
Use C		Firm's name			Firm's EIN ►							
	,, <u>,</u>	Firm's address 🕨			Phone no.							
Send Fo	orm 89	37 (including accompanying stater	nents) to: Department of the Treasury, Ir	nternal Revenue Service, Og	den, UT 84201-0	054						

RiverFront Asset Allocation Growth & Income SUPPLEMENTAL ATTACHMENT TO FORM 8937 EIN: 27-2983185

PART I, QUESTION 10 AND 12:

	CUSIP	TICKER
CLASS	NUMBER	SYMBOL
Class A	31761R278	RAGIX
Class C	317609568	RLGCX
Class I	317609550	RLIIX
Investor Class	317609576	RLGAX

PART II, QUESTION 14:

THE CORPORATION DECLARED THE FOLLOWING DISTRIBUTIONS TO SHAREHOLDERS DURING THE FISCAL YEAR ENDED OCTOBER 31, 2021 THAT RETURN OF CAPITAL WAS APPLICABLE.

DETAIL OF EACH DISTRIBUTION MADE IS DESCRIBED BELOW:

							TAXABLE	RETURN OF
	CUSIP	TICKER			PAYABLE		DIVIDEND	CAPITAL
CLASS	NUMBER	SYMBOL	RECORD DATE	EX-DATE	DATE	AMOUNT	AMOUNT	AMOUNT
Class A	31761R278	RAGIX	03/23/2021	03/24/2021	03/25/2021	\$0.013340	\$0.009426	\$0.003914
Class A	31761R278	RAGIX	06/22/2021	06/23/2021	06/24/2021	\$0.022030	\$0.015567	\$0.006463
Class A	31761R278	RAGIX	09/21/2021	09/22/2021	09/23/2021	\$0.068740	\$0.048572	\$0.020168
Total Class A						\$0.104110	\$0.073565	\$0.030545

							TAXABLE	RETURN OF
	CUSIP	TICKER			PAYABLE		DIVIDEND	CAPITAL
CLASS	NUMBER	SYMBOL	RECORD DATE	EX-DATE	DATE	AMOUNT	AMOUNT	AMOUNT
Class C	317609568	RLGCX	09/21/2021	09/22/2021	09/23/2021	\$0.042170	\$0.029798	\$0.012372
Total Class C						\$0.042170	\$0.029798	\$0.012372

							TAXABLE	RETURN OF
	CUSIP	TICKER			PAYABLE		DIVIDEND	CAPITAL
CLASS	NUMBER	SYMBOL	RECORD DATE	EX-DATE	DATE	AMOUNT	AMOUNT	AMOUNT
Class I	317609550	RLIIX	03/23/2021	03/24/2021	03/25/2021	\$0.021330	\$0.015072	\$0.006258
Class I	317609550	RLIIX	06/22/2021	06/23/2021	06/24/2021	\$0.030670	\$0.021672	\$0.008998
Class I	317609550	RLIIX	09/21/2021	09/22/2021	09/23/2021	\$0.077890	\$0.055038	\$0.022852
Total Class I						\$0.129890	\$0.091782	\$0.038108

							TAXABLE	RETURN OF
	CUSIP	TICKER			PAYABLE		DIVIDEND	CAPITAL
CLASS	NUMBER	SYMBOL	RECORD DATE	EX-DATE	DATE	AMOUNT	AMOUNT	AMOUNT
Investor Class	317609576	RLGAX	03/23/2021	03/24/2021	03/25/2021	\$0.012880	\$0.009101	\$0.003779
Investor Class	317609576	RLGAX	06/22/2021	06/23/2021	06/24/2021	\$0.021740	\$0.015362	\$0.006378
Investor Class	317609576	RLGAX	09/21/2021	09/22/2021	09/23/2021	\$0.068610	\$0.048480	\$0.020130
Total Investor Class						\$0.103230	\$0.072943	\$0.030287