► See separate instructions.

Part Reporting	ssuer			
1 Issuer's name				2 Issuer's employer identification number (EIN)
RiverFront Dynamic US Flo	ex-Cap ETF			81-1689185
3 Name of contact for add		5 Email address of contact		
			303-623-2577	
ALPS FUND SERVICES 6 Number and street (or F	O box if mail is not	7 City, town, or post office, state, and ZIP code of contact		
6 Number and Street (or P	.O. box il mail is not	delivered to s	street address) of contact	7 Gity, town, or post onice, state, and ZIP code of contact
1290 BROADWAY, SUITE	1000			DENVER, CO 80203
8 Date of action		9 Class	ification and description	
NOVEMBER 30, 2022			N STOCK	
10 CUSIP number	11 Serial number(s)	12 Ticker symbol	13 Account number(s)
001(20510			RFFC	
00162Q510 Part II Organizatio	nal Action Atta	ch additional		See back of form for additional questions.
				late against which shareholders' ownership is measured for
the action ► SEE AT				
	Intonieb officient			
				urity in the hands of a U.S. taxpayer as an adjustment per
share or as a percenta	age of old basis \blacktriangleright T	HE AMOUNT	SHOWN AS A RETURN C	F CAPITAL IN THE ATTACHED STATEMENT
REPRESENTS A REDUCTI	ON OF THE SHARE	HOLDERS T	AX BASIS ON SHARES H	ELD.
10 Describe the coloritet	an af the allowing in h			
16 Describe the calculation valuation dates ► SEE	-	asis and the o	uata that supports the calc	ulation, such as the market values of securities and the
	LINE 15 ABOVE.			

For Paperwork Reduction Act Notice, see the separate Instructions.

Part II Organizational Action (continued)

 17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based
 PURSUANT TO IRC

 SECTION 301(C)(1), THE PORTION OF A DISTRIBUTION WHICH IS A DIVIDEND (AS DEFINED UNDER IRC SECTION 316) IS INCLUDABLE

 IN GROSS INCOME. PURSUANT TO IRC SECTION 301(C)(2), THE PORTION OF THE DISTRIBUTION WHICH IS NOT A DIVIDEND

 SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED BASIS OF THE STOCK.

 18 Can any resulting loss be recognized?
 NOT APPLICABLE TO THIS TRANSACTION AS NO LOSS WOULD BE RECOGNIZED

 ON THE RETURN OF CAPITAL DISTRIBUTION. THE SHAREHOLDER'S COST BASIS SHOULD BE ADJUSTED TO REFLECT THE

 RETURN OF CAPITAL DISTRIBUTION WHICH MAY AFFECT THE GAIN OR LOSS REALIZED UPON THE DISPOSITION OF THE SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► <u>PURSUANT TO IRC SECTION 6045</u>, AS AMENDED BY THE EMERGENCY ECONOMIC STABILIZATION ACT OF 2008, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN THE COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DIVIDEND DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE.

		Inder penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and elief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.								
Sign Here	0		A SIGNED COPY OF THIS TAX FORM IS MAINTAINED AT THE OFFICES OF THE TAXPAYER.							
Paid Prepare Use On		Print/Type preparer's name		reparer's signature Date		Check if self-employed	PTIN			
							Firm's EIN ►			
	Firm's address ►					Phone no.				
Send Fo	rm 89	37 (including a	ccompanying statements) t	o: Department of the Treasury, Internal Rev	venue Service, Ogo	den, UT 84201	-0054			

PART II, QUESTION 14:

THE CORPORATION DECLARED THE FOLLOWING DISTRIBUTION TO SHAREHOLDERS DURING THE YEAR ENDED NOVEMBER 30, 2022 THAT RETURN OF CAPITAL WAS APPLICABLE.

DETAIL OF THE DISTRIBUTION MADE IS DESCRIBED BELOW:

RECORD		PAYABLE		TAXABLE DIVIDEND	RETURN OF CAPITAL	
DATE	EX-DATE	DATE	AMOUNT	AMOUNT	AMOUNT	
1/21/2022	1/20/2022	1/27/2022	\$ 0.007710	\$ 0.007542	\$	0.000168
2/18/2022	2/17/2022	2/24/2022	\$ 0.077090	\$ 0.075408	\$	0.001682
3/25/2022	3/24/2022	3/31/2022	\$ 0.057110	\$ 0.055864	\$	0.001246
4/22/2022	4/21/2022	4/28/2022	\$ 0.025150	\$ 0.024601	\$	0.000549
5/20/2022	5/19/2022	5/26/2022	\$ 0.061840	\$ 0.060490	\$	0.001350
6/24/2022	6/23/2022	6/30/2022	\$ 0.041430	\$ 0.040526	\$	0.000904
7/22/2022	7/21/2022	7/28/2022	\$ 0.042040	\$ 0.041123	\$	0.000917
8/19/2022	8/18/2022	8/25/2022	\$ 0.057380	\$ 0.056128	\$	0.001252
9/23/2022	9/22/2022	9/29/2022	\$ 0.040650	\$ 0.039763	\$	0.000887
10/21/2022	10/20/2022	10/27/2022	\$ 0.018320	\$ 0.017920	\$	0.000400
11/10/2022	11/9/2022	11/16/2022	\$ 0.098660	\$ 0.096507	\$	0.002153
Total			\$ 0.527380	\$ 0.515872	\$	0.011508