See separate instructions.

Part I Reporting Issuer

1 Issuer's name		2 Issuer's employer identification number (EIN)
		46 1000664
U.S. EQUITY HIGH VOLATILITY PUT WRITE II 3 Name of contact for additional information	4 Telephone No. of contact	46-1333664 5 Email address of contact
ALPS FUND SERVICES	303-623-2577	
6 Number and street (or P.O. box if mail is not	delivered to street address) of contact	7 City, town, or post office, state, and Zip code of contact
1290 BROADWAY, SUITE 1100 8 Date of action	9 Classification and description	DENVER, CO 80203
NOVEMBER 30, 2014	COMMON STOCK	
10 CUSIP number 11 Serial number		13 Account number(s)
00162Q734	HVPW	
		ee back of form for additional questions.
-		te against which shareholders' ownership is measured for
the action SEE ATTACHED STATEME	ENT.	
	HE AMOUNT SHOWN AS A RETURN OF	rity in the hands of a U.S. taxpayer as an adjustment per CAPITAL IN THE ATTACHED STATEMENT LD.
16 Describe the calculation of the change in the valuation dates ► <u>SEE LINE 15 ABOVE</u> .	pasis and the data that supports the calcul	lation, such as the market values of securities and the

Part II Organizational Action (continued)

 17 List the applicable Internal Revenue Code section(s) and subsection(s) upon which the tax treatment is based
 PURSUANT TO IRC

 SECTION 301(C)(1), THE PORTION OF A DISTRIBUTION WHICH IS A DIVIDEND (AS DEFINED UNDER IRC SECTION 316) IS INCLUDABLE

 IN GROSS INCOME. PURSUANT TO IRC SECTION 301(C)(2), THE PORTION OF THE DISTRIBUTION WHICH IS NOT A DIVIDEND

 SHALL BE APPLIED AGAINST AND REDUCE THE ADJUSTED BASIS OF THE STOCK.

 18 Can any resulting loss be recognized?
 NOT APPLICABLE TO THIS TRANSACTION AS NO LOSS WOULD BE RECOGNIZED

 ON THE RETURN OF CAPITAL DISTRIBUTION. THE SHAREHOLDER'S COST BASIS SHOULD BE ADJUSTED TO REFLECT THE

 RETURN OF CAPITAL DISTRIBUTION WHICH MAY AFFECT THE GAIN OR LOSS REALIZED UPON THE DISPOSITION OF THE SHARES.

19 Provide any other information necessary to implement the adjustment, such as the reportable tax year ► PURSUANT TO IRC SECTION 6045, AS AMENDED BY THE EMERGENCY ECONOMIC STABILIZATION ACT OF 2008, BROKERS ARE REQUIRED TO REFLECT THESE ADJUSTMENTS IN THE COST BASIS REPORTING FOR COVERED SECURITIES. IF A BROKER IS NOT REQUIRED TO PROVIDE COST BASIS TO A PARTICULAR SHAREHOLDER, THE COST BASIS OF THE SHAREHOLDER'S SHARES SHOULD BE ADJUSTED AS OF THE DIVIDEND DATE TO REFLECT THE RETURN OF CAPITAL DESCRIBED ABOVE.

				ve examined this return, including accomparation of preparer (other than officer) is base			nowledge and	
Sign Here	0		A SIGNED CO	DPY OF THIS FORM IS M PAYER	AINTAINED AT T			
Paid Prepa	rer	Print/Type preparer's name		Preparer's signature	Date	Check if self-employed	IT	
Use C		Firm's name Firm's addres		Firm's EIN ► Phone no.				
Send Fo	rm 89	37 (including	accompanying staten	nents) to: Department of the Treasury,	Internal Revenue Service, (Ogden, UT 84201-0054		

PART II, QUESTION 14:

THE CORPORATION DECLARED THE FOLLOWING DISTRIBUTIONS TO SHAREHOLDERS DURING THE YEAR ENDED NOVEMBER 30, 2014 WHERE RETURN OF CAPITAL WAS APPLICABLE. 0.00% OF THE DISTRIBUTIONS WERE CONSIDERED A TAXABLE DISTRIBUTION FROM THE CORPORATION'S EARNINGS AND PROFITS AND THE REMAINING 100.00% OF THE DISTRIBUTIONS ARE FROM SOURCES OTHER THAN THE CORPORATION'S EARNINGS AND PROFITS, AND IS THEREFORE A RETURN OF CAPITAL TO THE SHAREHOLDER.

DETAIL OF EACH DISTRIBUTION MADE IS DESCRIBED BELOW:

RECORD		PAYABLE		% TAXABLE		TAXABLE DIVIDEND	% RETURN	RETURN OF CAPITAL	
DATE	EX-DATE	DATE	AMOUNT	DIVIDEND	_	AMOUNT	OF CAPITAL		AMOUNT
02/26/14	02/24/14	03/03/14	\$ 0.386590		\$	-		\$	0.386590
04/23/14	04/21/14	04/28/14	\$ 0.364287		\$	-		\$	0.364287
06/25/14	06/23/14	06/30/14	\$ 0.370211		\$	-		\$	0.370211
08/20/14	08/18/14	08/25/14	\$ 0.367658		\$	-		\$	0.367658
10/22/14	10/20/14	10/27/14	\$ 0.359799		\$	-		\$	0.359799
Total			\$ 1.848545	0.00%	\$	-	100.00%	\$	1.848545